Selection Committee for the TransNet Independent Taxpayer Oversight Committee

Friday, April 26, 2019
8 to 9 a.m.
401 B Street, San Diego
Conference Room 8B

Please take the elevator to the 7th floor to access the meeting room

Agenda Highlights

- TransNet Independent Taxpayer Oversight Committee: Overview

- TransNet Independent Taxpayer Oversight Committee: Appointment of Members

Please silence all electronic devices during the meeting

Mission Statement

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Selection Committee for the
TransNet Independent Taxpayer Oversight Committee
Friday, April 26, 2019

Item No. | Action |
--- | --- |
1. | Selection of Chair

The Selection Committee should select a chairperson for purposes of conducting the meeting.

Est. Start Time: 8 a.m.

+2. | TransNet Independent Taxpayer Oversight Committee: Overview (Ariana zur Nieden)

Staff will present an overview of the Independent Taxpayer Oversight Committee and its responsibilities.

Est. Start Time: 8:10 a.m.

+3. | TransNet Independent Taxpayer Oversight Committee: Appointment of Members (Ariana zur Nieden)

The TransNet Independent Taxpayer Oversight Committee Selection Committee (ITOC) is asked to:

1. appoint one ITOC member for the licensed architect, civil engineer, or traffic engineer position with demonstrated experience of ten years or more in the field of transportation and/or urban design in government or the private sector; and
2. consider reappointment of three current ITOC members.

Est. Start Time: 8:15 a.m.

4. | Public Comments/Communications/Member Comments

Members of the public shall have the opportunity to address the Independent Taxpayer Oversight Committee (ITOC) on any issue within the jurisdiction of SANDAG that is not on this agenda. Anyone desiring to speak shall reserve time by completing a Request to Comment form and giving it to the Clerk prior to speaking. Public speakers should notify the Clerk if they have a handout for distribution to ITOC members. Public speakers are limited to three minutes or less per person. ITOC members also may provide information and announcements under this agenda item.

Est. Start Time: 8:55 a.m.

5. | Adjournment

+ next to an item indicates an attachment
TransNet Independent Taxpayer Oversight Committee: Overview

In accordance with the TransNet Extension Ordinance, an Independent Taxpayer Oversight Committee (ITOC) was established to provide an enhanced level of accountability for expenditures made under the Expenditure Plan. The ITOC functions in an independent, open, and transparent manner to ensure all voter mandates are carried out as required in the Ordinance, and to develop constructive recommendations for improvements to the financial integrity and performance of the TransNet program.

Key Considerations

The membership of the ITOC consists of seven voting members, each of whom represents a specified area of expertise intended to provide the skills and experience necessary for ITOC to carry out its responsibilities.

The ITOC has ten primary responsibilities, as outlined in the Ordinance, including conducting both annual fiscal and compliance audits and triennial performance audits of the TransNet Program.

The ITOC also prepares an annual ITOC report including the results of its audit process, in the form of findings and/or recommendations. The most recent annual report, the FY 2018 ITOC Annual Report, was presented to the Board of Directors in July 2018.

Attachment 1 includes additional information regarding ITOC responsibilities and membership.

Next Steps

The next ITOC annual report is scheduled for presentation to the Board in June 2019.

José A. Nuncio, TransNet Department Director

Key Staff Contact: Ariana zur Nieden, (619) 619-699-6961, arianazurnieden@sandag.org

Attachment: 1. ITOC Overview (Responsibilities and Membership) and Current Membership
Independent Taxpayer Oversight Committee
Overview

Responsibilities
The Independent Taxpayer Oversight Committee (ITOC) responsibilities will include the following:

• Conduct an annual fiscal and compliance audit of all TransNet-funded activities using the services of an independent fiscal auditor to ensure compliance with the voter-approved Ordinance and Expenditure Plan.

• Prepare an annual report to the Board of Directors presenting the results of the annual audit process.

• Conduct triennial performance audits of SANDAG and other agencies involved in the implementation of TransNet-funded projects and programs to review project delivery, cost control, schedule adherence, and related activities.

• Provide recommendations to the Board regarding any proposed amendments to the TransNet Ordinance and Expenditure Plan.

• Provide recommendations as part of the required ten-year review process. This process provides an opportunity to undertake a comprehensive review of the TransNet Program every ten years and to make recommendations for improving the program over the subsequent ten years.

• Participate in the ongoing refinement of the SANDAG transportation system performance measurement process and the project evaluation criteria used in development of the Regional Transportation Plan and in prioritizing projects for funding in the Regional Transportation Improvement Program.

• On an annual basis, review ongoing SANDAG system performance evaluations, including the “State of the Commute” report, and provide an independent analysis of information included in that report.

• Review and comment on the programming of TransNet revenues in the Regional Transportation Improvement Program.

• Review proposed debt financings to ensure that the benefits of the proposed financing for accelerating project delivery, avoiding future cost escalation, and related factors exceed issuance and interest costs.

• Review the major Congestion Relief projects identified in the Ordinance for performance in terms of cost control and schedule adherence on a quarterly basis.

In carrying out its responsibilities, the ITOC shall conduct its reviews in such a manner that does not cause unnecessary project delays, while providing sufficient time to ensure that adequate analysis can be completed to allow the ITOC to make objective recommendations and to provide the public with information about the implementation of the TransNet Program.
Membership

- A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector.

- A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.

- A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition.

- A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.

- A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector.

- A chief executive officer or person in a similar senior-level decision-making position of a major private sector employer with demonstrated experience in leading a large organization.

- A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.
Current Membership on the
TransNet Independent Taxpayer Oversight Committee

Terms Expiring May 2019

Dustin Fuller, Chair
Dustin is serving in the Independent Taxpayer Oversight Committee (ITOC) position for a professional in biology or environmental science with demonstrated experience in environmental regulations and major project mitigation requirements and/or habitat acquisition and management. He is a Senior Environmental Planner with the 22nd District Agricultural Association. Dustin has more than 15 years of experience in the environmental planning field, including coordination with resource agencies, preparation of environmental documents, and permit processing. He currently serves as a member of the Association of Environmental Professionals. Dustin is a Clairemont resident working in Del Mar.

Stewart Halpern
Stewart is serving in the ITOC position for a professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector. He has over 30 years of experience in various aspects of financial decision-making, managing external auditors, budgeting, and financial analysis. Stewart has served as a senior financial executive for major Wall Street firms with a focus on tax-exempt entity financing. He currently serves as a member of the Board of Directors of San Diego Coastkeeper and as a member of the SANDAG Audit Committee. Stewart lives and works in the La Jolla area of San Diego.

Kai Ramer
Kai is serving in the ITOC position for a licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years of experience in a relevant and senior decision-making position in the government or private sector. He is a principal for Rick Engineering Company and has over 25 years of experience in the field of transportation engineering. He has served on the Santee School District Independent Citizens’ Oversight Committee and as past president of the San Diego Highway Development Association. He is currently a member of the San Diego County Taxpayers Association. Kai is a Santee resident working in Mission Valley.

Jonathan Tibbitts
Jonathan is serving in the ITOC position for a licensed architect or civil/traffic engineer with experience in transportation and/or urban design. He is a registered civil engineer (retired) with over 20 years of experience, including construction management and service as Commander with the U.S. Navy Civil Engineer Corps. Jonathan lives in the Kensington area of San Diego.
Terms Expiring May 2020

Gregg Sadowsky

Gregg is serving in the ITOC position for a professional with experience in real estate, land economics, and/or right-of-way acquisition. He will draw upon his 34 years of experience in commercial real estate, operations, and development experience. Gregg is a licensed real estate broker and has served as a member of a variety of real estate and other community organizations. Gregg lives in the Carmel Valley area of San Diego.

Terms Expiring May 2021

Richard Vortmann, Vice Chair

Richard is serving in the ITOC position for a chief executive officer or person in a similar senior-level decision-making position, of a major private sector employer with demonstrated experience in leading a large organization. He has over 30 years of experience in the fields of financial management and strategic planning, including over 22 years as President of National Steel and Shipbuilding Company (NASSCO) and six years as Vice President of General Dynamics Corporation. He has served as a member of numerous boards including the San Diego Regional Economic Development Corporation and Scripps Health, among others. Most recently, he also served as Interim President and Chief Executive Officer.
Selection Committee for the
TransNet Independent Taxpayer Oversight Committee

April 26, 2019

TransNet Independent Taxpayer Oversight Committee:
Appointment of Members

Overview
The membership of the ITOC consists of seven voting members, each of whom represents a specified area of expertise. The terms of four of seven ITOC members expire in May 2019.

Three incumbents are eligible to be reappointed. In addition, due to term limits, one remaining position will be vacant at the end of the current member’s term. Applications for this remaining position were requested from individuals interested in serving on the ITOC through an open, publicly noticed solicitation process.

Key Considerations
The ITOC selection process consists of two steps:

1. Review by a Technical Screening Committee, which is responsible for reviewing applications received from interested individuals and recommending two candidates for open positions.

2. Approval by a Selection Committee that is responsible for selecting the ITOC member from the list of qualified candidates recommended by the Technical Screening Committee.

Consistent with the TransNet Extension Ordinance, the Technical Screening Committee reviewed all candidate applications received. The Technical Screening Committee’s recommendation memo and applications for each recommended candidate are included as Attachment 1. Additional information regarding the selection process is included in Attachment 2.

All candidates have been notified of the April 26, 2019, Selection Committee meeting and are scheduled to attend in person.

Action: Appoint
The TransNet Independent Taxpayer Oversight Committee Selection Committee (ITOC) is asked to:

1. appoint one ITOC member for the licensed architect, civil engineer, or traffic engineer position with demonstrated experience of ten years or more in the field of transportation and/or urban design in government or the private sector; and

2. consider reappointment of three current ITOC members.

Fiscal Impact:
Consistent with TransNet Extension Ordinance provisions, Independent Taxpayer Oversight Committee Selection Committee (ITOC) members shall serve without compensation except for direct expenses (parking/mileage for attendance at meetings) related to the work of the ITOC.

Schedule/Scope Impact:
The newly selected member is anticipated to begin serving at the regularly scheduled ITOC meeting in June 2019.
**Next Steps**

Following the Selection Committee’s consideration of applications, all applicants would be notified of the final selection results. Successful candidates would attend their first ITOC meeting on June 12, 2019.

Should the Selection Committee be unable to reach agreement on a candidate from the qualified candidates recommended by the Technical Screening Committee, the Selection Committee shall request the Technical Screening Committee recommend two additional qualified candidates for consideration, and the same selection process outlined above for new members would be initiated.

**José A. Nuncio, TransNet Department Director**

Key Staff Contact: Ariana zur Nieden, (619) 699-6961, arianazurnieden@sandag.org

Attachments:  
1. Technical Screening Committee Recommendation Memo including Candidate Applications  
2. Discussion Memo
April 9, 2019

Memo to: Selection Committee for the TransNet Independent Taxpayer Oversight Committee

From: Technical Screening Committee

Subject: Recommendation of ITOC Candidates

As required by the TransNet Extension Ordinance, a Technical Screening Committee composed of the following members was formed to provide recommendations to the Selection Committee regarding candidates for Independent Taxpayer Oversight Committee (ITOC):

- Kome Ajise, Executive Director, Southern California Association of Governments
- Mark Baza, Executive Director, Imperial County Transportation Commission
- Wm. Joel King, Assistant Vice Chancellor, Campus Architect, UC San Diego

Request for Appointment

Consistent with the Extension Ordinance, the Technical Screening Committee met via telephone conference call on April 9, 2019, to discuss candidate applications.

Two applications were received for the following position:

- A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector

The Screening Committee commented on the well qualified applications received from both candidates. In its review, the Screening Committee considered factors such as the applicant’s quality of the responses to the questions in the application, the depth of experience of each applicant, the level of demonstrated understanding of the role of ITOC, and the type of skills that the applicant would bring to ITOC. The Technical Screening Committee recommends both candidates be advanced for consideration by the Selection Committee based on their qualifications:

- Neal Woodson Clements – Technical Screening Committee members considered Mr. Clements’ experience in civil engineering and work in the transportation field in both the public and private sectors. The Committee also considered Mr. Clements’ volunteer experience.
• Michael Kenney – Technical Screening Committee members considered Mr. Kenney’s traffic engineering experience in the public and private sectors. The Committee also noted Mr. Kenney previously served on the ITOC in FY 2011-2012 for about one year.

Conflicts of Interest Review

Technical Screening Committee members noted both applicant’s affiliations with business entities that may present potential conflicts of interest should they be appointed. Based on SANDAG Board Policy No. 031, as well as guidance from SANDAG’s Office of General Counsel (OGC), staff noted that the possibility of a potential conflict of interest does not automatically require disqualification of an applicant.

In addition, the SANDAG OGC performed a conflicts of interest review based on both the ITOC application and required statement of economic interests provided by each applicant. While the OGC determined potential conflicts exist for Mr. Kenney based on his current employment status, he would not be precluded from serving as an ITOC member. The OGC determined no potential conflicts exist for Mr. Clements.

Requests for Reappointment

Consistent with the TransNet Extension Ordinance, the ITOC members currently serving in the positions below applied by the submittal deadline to continue as a member of the ITOC for Selection Committee approval as follows:

• Dustin Fuller – A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management

• Stewart Halpern – A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector

• Kai Ramer – A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector

The Technical Screening Committee noted all three candidates’ strong qualifications and relevant expertise in their respective subject matter. The Committee considered each current members’ prior experience serving as chair of the ITOC and willingness to participate on various ITOC subcommittees. In addition, Technical Screening Committee members considered continuity and the benefit of having experienced members with institutional memory on the ITOC to provide meaningful input based on their knowledge of the TransNet program over the years.

The Technical Screening Committee recommends the reappointment of Mr. Fuller, Mr. Halpern, and Mr. Ramer for consideration by the Selection Committee.

AZU

Enclosure: Candidate Applications
Independent Taxpayer Oversight Committee

Applicants Requesting Appointment
Applicant name: Clements, Neal Woodson

Position applied for: A licensed architect, civil engineer, or traffic engineer.
APPLICATION TO SERVE ON THE
INDEPENDENT TAXPAYER OVERSIGHT
COMMITTEE

(Application submission)

To submit your application, please complete and submit the following information to the office listed below no later than March 22, 2019:

1. All eight pages of the completed and signed application form;
2. A copy of your resume;
3. A completed and signed Statement of Economic Interests (Form 700); and
4. Any additional information you believe relevant to your application.

SANDAG
Attn: Ariana zur Nieden
401 B Street, Suite 800
San Diego, CA 92101
If submitted by email: ariana.zurnieden@sandag.org
(with a subject line of “SANDAG Independent Taxpayer Oversight Committee”)

Your application is deemed submitted when you receive an acknowledgement from SANDAG.

If you need additional space to answer any of the following questions, please provide the information in an attachment.

Section A – General information

Title ☑ Mr. ☐ Ms.
Name (last, first, middle) Clements, Neal Woodson
Email address
Primary telephone number
Number Specify type: ☑ Mobile ☐ Work ☐ Home
Alternative telephone number (optional)
Number Specify type: ☑ Mobile ☐ Work ☐ Home
Current address (number, street, city, state, ZIP code)
Section B – Licenses or certifications relevant to the position

Please list the type of license or certificate, issuing state/entity, license number, date of issue/expiration date:

<table>
<thead>
<tr>
<th>License Type</th>
<th>Issuing State/Entity</th>
<th>License Number</th>
<th>Date of Issue/Expiration</th>
</tr>
</thead>
<tbody>
<tr>
<td>Professional Engineer</td>
<td>California, Civil Engineer</td>
<td>C54902</td>
<td>Issued 1995/Expiration 6/2020</td>
</tr>
<tr>
<td>Professional Engineer</td>
<td>Texas, Civil Engineer</td>
<td></td>
<td>Issued 1983/Allowed to Lapse 2017</td>
</tr>
</tbody>
</table>

Section C – Education (college/university or other education history)

Please list the school, degree, major, year earned:

<table>
<thead>
<tr>
<th>School</th>
<th>Degree</th>
<th>Major</th>
<th>Year Earned</th>
</tr>
</thead>
<tbody>
<tr>
<td>United States Naval Academy</td>
<td>BS</td>
<td>Physics</td>
<td>1972</td>
</tr>
<tr>
<td>Texas A&amp;M University</td>
<td>Master</td>
<td>Civil Engineering</td>
<td>1978</td>
</tr>
</tbody>
</table>

Section D – Civic/community/volunteer experience over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

<table>
<thead>
<tr>
<th>Organization</th>
<th>City/State</th>
<th>Activity/Type of Work</th>
<th>Dates (from/to)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Volunteers of America</td>
<td></td>
<td>Annual Celebrity Golf Tournament, Committee Member</td>
<td>2017-2018</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Elected to Board of Directors</td>
<td>January 2018</td>
</tr>
</tbody>
</table>

Section E - Professional/other relevant organizations over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

<table>
<thead>
<tr>
<th>Organization</th>
<th>City/State</th>
<th>Activity/Type of Work</th>
<th>Dates (from/to)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Society of American Military Engineers (SAME)</td>
<td></td>
<td>Board of Directors 1995-2005</td>
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<td></td>
<td></td>
<td>Scholarship Selection Committee 1996-1998</td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td>Treasurer, Scholarship Fund 2007-2017</td>
<td></td>
</tr>
</tbody>
</table>

Section F – Three references familiar with your qualifications for this appointment

Please list the reference name, your association with reference, reference telephone number:

2. Emil Rudolph, P.E.  (619) 538-2217. Chief Engineer SCST Engineering
3. Gerrald McFadden  (619) 282-8211. Executive Director, Volunteers of America
4. Tom Crane  (858) 571-3645. Consultant City of San Diego. Retired Navy Civil Engineer Corps
Section G – Vacancy available

The following Independent Taxpayer Oversight Committee position(s), shown in **BOLD**, is/are VACANT. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

☐ A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector.

☐ A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.

✔ 1. California Civil Engineer License (24 years); Texas Civil Engineer 36 years
   5. Project Manager, Project Director, VP various large businesses 1993-2008

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition.
Section G – Vacancy available (continued)

The following Independent Taxpayer Oversight Committee position(s), shown in **BOLD**, is/are vacant. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

- [ ] A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.

- [ ] A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector.

- [ ] A chief executive officer or person in a similar senior-level decision-making position of a major private sector employer with demonstrated experience in leading a large organization.
Section G – Vacancy available (continued)

The following Independent Taxpayer Oversight Committee position(s), shown in **BOLD**, is/are vacant. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

☐ A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.
Section H – About yourself, as related to the job position

Please respond as concisely as possible. Applications will not be judged on length of response.

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?

After 46 years working in the engineering field, both public and private, I have a broad range of experience to apply. After the sale of my company late last year and my retirement from industry, I have been looking for new ways to contribute and give back to my community. This started with my election to the Board of VOA where my wife and I actively participate, particularly with my passion to help veterans.

2. What professional skills and abilities would you bring to the committee, and how would they benefit the ITOC?

I have much more to offer SANDAG and the Oversight Committee. I have more than 40 years of engineering experience, including planning, budgeting, designing, estimating, contracting, material testing and inspecting the construction of facilities of every kind, including transportation services and facilities. I have the time available to do the reading of required materials and prepare for meetings. I am familiar with environmental planning, testing, engineering design and clean up of superfund sites. I have 46 years of leadership, from a Navy CEC junior officer to the owner of a company with 200 employees. My private sector business experience ranges from ownership, having to make a payroll of $400K every two weeks, signing all contracts to senior executive/leadership positions in large companies. My government experience included budgeting at the Department of the Navy level for all Navy shore facilities worldwide, awarding and administering contracts as a warranted contracting officer, and my Master Plan update for NAS North Island was recognized as the best in the Navy in 1992.

3. What do you hope to accomplish by your participation in the ITOC?

I understand that the mission of the oversight committee is to provide an enhanced level of accountability for the expenditure of Transnet funds. I hope to apply my expertise to help the committee make the best decisions, evaluate goal achievement of the voter mandates and develop useful audit recommendations to improve financial integrity of the program and enhance transportation services throughout San Diego.
Section I – Affiliations

To the best of your knowledge, have you currently, or have you ever been, affiliated (as a director, officer, partner, trustee, employee, owner, advisor, or consultant) with any institution, organization, or business entity that might present an actual, potential, or apparent conflict of interest should you be appointed? An example would include, but not be limited to, a contract with SANDAG.

☐ Yes (If “Yes”, please explain below) ☑ No

My former company SCST (fka Southern California Soils & Testing) performed geotechnical testing, wrote geotechnical reports, observed earthwork operations, and performed construction inspections for most of the general contractors in San Diego, including some as a subcontractor on a few transportation projects. While we had a position on the small business SANDAG bench, we were never selected as a prime contractor to SANDAG. I sold my interests in the SCST on November 7, 2018.

G Force also worked as a subcontractor to some general contractors on a few transportation projects years ago and I remain a minority shareholder in G Force. G Force has very limited operations over the past few years with only 4 employees and no active contracts.

No Conflict of Interest

Section J – Financial holdings and property

To the best of your knowledge, do you have financial holdings in or receive income from any source or own real property or personal property that might present an actual, potential, or apparent conflict of interest should you be appointed?

☐ Yes (If “Yes”, please explain below) ☑ No
**Applicant certifies**

All statements in this application are true and correct to the best of my knowledge and belief. I understand that false or misleading answers are cause for rejection of this application.

By submitting my application to SANDAG, I authorize employers, schools, law enforcement agencies, and other individuals and organizations named in this application to provide candid and full information regarding my work record, job performance, volunteer work or service as a public official, character, ability, and fitness to authorized employees of SANDAG. I understand that the information may be positive, negative, confidential, and/or privileged in nature and may be used by SANDAG in any phase of the application process. I release current and previous employers, schools, law enforcement agencies, individuals, organizations, and SANDAG and its employees/representatives from any liability and/or damages that may result from the release, receipt, or use of requested information.

By checking the "I agree" box below, I hereby certify that I have read and understood the instructions, conditions, and other information provided in this document.

I agree  
Signature (please type name)  
Date

✔  Neal W. Clements  03/20/2019

Please feel free to provide additional information or letters of endorsement.
Thank you for your interest in serving on the SANDAG Independent Taxpayer Oversight Committee.
Neal W. Clements, PE

**SUMMARY:** As a registered professional Civil Engineer in the states of California and Texas, Mr. Clements has provided technical advice and oversight for all types of construction, environmental, and O&M projects and contracts. He has many years of project management experience and prior to joining the SCST team, he grew professionally to Vice President in a large fortune 500 company and then became a part owner of a $40M small business. Graduating from the large business culture in 2007, Mr. Clements made a key decision to move into the world of Small Business as Chief Operating Officer. Mr. Clements has more than 40 years of experience in the engineering, design, construction, and facility management business. Over the last 21 years of private sector service, he spent 10 years in the environmental consulting and remedial construction business sector, eight years managing a 1,200 employee federal facility management business unit managing more than $120M in annual contract revenues, and more than three years in the construction material testing and inspection industry. In his first career, he served for 21 years on active duty in the US Navy Civil Engineer Corps in various roles of Public Works management, construction management, contract administration and financial management. While on active duty, he served stateside and around the world in places like Earle NJ, Guam, Norfolk VA, Gulfport MS, Puerto Rico, Okinawa, Washington DC, and ended up in San Diego as the Public Works Officer for Naval Air Station, North Island, Coronado. Mr. Clements graduated from the United States Naval Academy, received Navy Nuclear Power Training, and holds a master's degree in Civil Engineering.

**EDUCATION**
Master of Civil Engineering (Construction Management Option); Texas A&M University, 1979  
Bachelor of Science, Physics, U.S. Naval Academy, 1972

**REGISTRATIONS**
Professional Civil Engineer, California (1996 - Current C 54902) and Texas (1983 - allowed to lapse 2017)

**Current Position**

CEO, Southern California Soil and Testing, San Diego, CA (December 2013- Retired November 2018)  
Mr. Clements acquired the firm in December 2013. As owner and Chief Executive Officer of Southern California Soil and Testing, Inc., he provides oversight for all operations and strategic planning for the 100+ member firm. Founded in 1959, SCS&T provides professional services including geotechnical engineering, materials testing, special inspection and third party QA/QC. The firm employs skilled geotechnical engineers, engineering geologists, multi-credentialed inspectors and technicians and support personnel. SCS&T has a diverse background working on a variety of project types for clients in both the public and private sector. SCS&T is a Small Business Enterprise (SBE) and Disabled Veteran Business Enterprise (DVBE) certified through the State of California (No. 49756), a Small Local Business Enterprise (SLBE) certified through the City of San Diego (14SC1042), and federally certified Service Disabled Veteran Owned Small Business by the Department of Veteran Affairs.

![Services Disabled Veteran Owned Small Business (SDVOSB)](image)

This business has been verified by CVE as **Service Disabled Veteran Owned Small Business (SDVOSB)**.

**Prior Experience**

Principal Engineer, GForce, San Diego, CA (June 2011-December 2013)  
For two and a half years, Mr. Clements was the Principal Civil Engineer for GForce, responsible of operation of the construction material testing laboratory and all engineering activities, including geotechnical services. Example projects include: General Services Administration, San Ysidro Land Port of Entry, Phase 1B
Construction, San Ysidro, CA. He managed the material testing and special inspection services for the G-Force Laboratory for this $187M project. Also the San Diego Community College District, North City Center Expansion Parking Structure and Building, San Diego, CA. He managed the soils, special inspection and material testing services for the G-Force Laboratory.

Chief Operating Officer and Managing Partner, Sullivan International Group, San Diego, CA (March 2008 - June 2011)
For three and a half years prior to retirement from the position, Mr. Clements was responsible for the efficient and effective management of all company operations including the Environmental Engineering, Remediation, & Construction (ERC) service areas, the O&M service area and internal corporate support services. Grew the company from $13M annual revenue in 2008 to $41M in 2010. In addition to project execution, he was responsible for the H&S Program, the Quality Team and contract administration, including maintaining ISO 17000 certification. He ran the Quality Program for our engineering and construction services. Our field construction management team provided owner representation for a number of federal agencies, including the Army Corps of Engineers, NAVFAC, AFCEE, EPA, National Park Service, and the National Forest Service. All inspectors were qualified by USACE Quality Training and standards.

Vice President, Shaw E&I, Inc., San Diego, CA (2003 - 2008)
For five years, Mr. Clements was the national leader of Facility Management operations for Shaw, managing contract operations for a $250 million per year business, which includes three large Joint Venture projects. He directly oversees $135 million per year in prime contract operations with more than 1,500 employees on five Army and Navy installations, including the DPW/DOL contracts Fort Rucker, AL and Fort Benning, GA, the DPW support services contract at Fort Richardson and Fort Wainwright in Alaska, environmental services Navy installations in San Diego, and utility O&M services at the LA Army Ammunition Plant. He was a member of the Board of Directors (BOD) of Mississippi Space Services; a Joint Venture company performing Facility Operations Support at the Stennis Space Center, a NASA facility. He was also an alternate member of the BOD for KSL, the BOS support contract for the Los Alamos National Laboratory, a large DOE facility, and on the Launch Operation and Support Contract for Cape Canaveral. He has led transition teams on three BOS contracts.

Mr. Clements served as the Western Operations Manager for the Facility Management Business Line. He was responsible for facility operations & maintenance contracts for the U.S. Army in Alaska, family housing maintenance for US Marine Corps at 29 Palms, CA and DOE at the Los Alamos National Laboratory. Other responsibilities and activities included business development functions, supervision of technical personnel, capture manager and strategy development, proposal manager, red teams and proposal preparation. Participated in proposals, negotiations, and appeals on at least ten A-76 studies.

Senior Project Manager, OHM Remediation Services (acquired by IT Corporation, later acquired by Shaw), San Diego, CA (1996-2000)
Mr. Clements was the base lead project manager for NAS North Island, NAB Coronado, and NAVSTA San Diego. He directly managed seven design/build/operate RAC delivery orders (DOs) worth more than $18.7M, including responsibility for quality, cost control, scheduling, assigned personnel, and problem resolution. He supervised three subordinate project managers and led a team of 50 professional and construction personnel along with more than 40 subcontractors on a total of 19 DOs valued at over $37M. Because of Mr. Clements’ efforts in cost control, project management, team building, and partnership, the project teams boosted their performance on eight DOs within one year from Level 2 to 100% Level 1 performance on award fee evaluations. His project team was recognized by the Commanding Officer of NAS North Island as an outstanding contributor to their winning the 1997 Department of Defense Environmental Cleanup Award. He has demonstrated the ability to work closely with base operations personnel, adapt to frequent scope changes, control budgets, and maintain schedules to meet rapidly changing customer requirements.

Branch Manager & Senior Project Manager, Metcalf & Eddy, San Diego, CA (1993-1996).
Prior to his Shaw experience, after completion of a career in the Navy, Mr. Clements managed a 40-person
office performing $10M per year in design and construction, primarily in water, wastewater and environmental services. He supervised the administrative staff, CADD department, and managed office services, utilization, and overhead budget. His duties included preparing operating reports and resource management for the West Region. He directed local and regional computer operations, supervised network administrators, performed software training, and purchased hardware and software. He managed ten projects under two different SWDIV Navy contracts, including an IDIQ engineering services contract with Public Works Center (PWC) San Diego, addressing wastewater, industrial waste, and hazardous waste management at Navy facilities in San Diego.

**Commander, Public Works Officer, US Navy, NAS North Island (1990-1993)**
He was the senior facility engineer and Department Head (Public Works Officer) for a 40,000-acre seaport/aviation/industrial complex, providing all public works engineering services. He managed facility planning, design, maintenance and repair, capital improvements, utilities, transportation services, O&M service contracts, and environmental compliance support. He developed criteria, justification, and documentation for new facilities and approved sites, final facility plans, and designs for new construction. Mr. Clements possessed final authority over development and execution of facility O&M programs. He supervised development of the Master Plan update for NAS North Island, which was recognized by the Navy in 1992 as the best Plan in NAVFAC. He reported directly to the Commanding Officer and managed a staff of engineers, technicians, and support personnel with an annual budget of $30M.

Mr. Clements held executive-level financial management position (BOS Financial Management/Budget Officer) in the Office of the Navy Comptroller, Pentagon for three years. He prepared, justified, and supported a $3.5B Base Operations Support (BOS) and Maintenance of Real Property (MRP) budget for 290 Navy shore installations worldwide with a plant value of more than $100B.

As Chief of Staff of a large construction organization, planned, coordinated, and directed entire range of operations, including construction effort, scheduling and allocation of critical equipment and materials for twelve simultaneous horizontal and vertical construction projects. Total employees numbered 650. Evaluated progress, maintained balanced effort between projects, and completed all projects on time and within budget.

**Assistant Head, Acquisition Department, Atlantic Division, NAVFACENGCOM, Norfolk, VA (1983-1985).**
Assistant Department Head responsible for project management, design, contract award, and construction management of an annual $500 million military construction program. Served as senior member on significant A/E selection panels and negotiation boards, evaluating qualifications of A/E consultants for large design projects. Opened bids, reviewed negotiation board reports. Performed internal review and audits of field contract administration offices. Chaired A/E design liability and responsibility board, evaluating and adjudicating claims of design liability on construction projects.

**Department Head, Facilities Maintenance Service Contracts, Public Works Center, Norfolk, VA. (1981-1983)**
Managed all maintenance service contracts, including A-76 studies, janitorial, grounds maintenance, trash disposal, and HVAC. Supervised 55 engineers, contract administrators, contract inspectors, and support personnel. Initiated the first A/E IDIQ contracts for PWC, effectively leveraging a 20 person in-house engineering design staff resulting in a 20% improvement in efficiency and a 27% reduction of large project backlog. He was team leader for the first 5-year maintenance plan for all PWC facilities.

**Director of Construction/Resident Officer in Charge of Construction (ROICC), Marianas, Guam (1979-1981)**
Construction management for Department of Interior $200 million Five-Year capital improvement program for the Trust Territory of the Pacific Islands. Supervised 25 engineers and construction inspectors through
five island field offices. Monitored designs, conducted design review conferences, organized bid items, conducted pre-bid conferences, and administered 12 construction contracts worth $114 million. Projects included road and airfield paving, water distribution and sewer collection systems, and power plant upgrades. Ensured prime and subcontractors complied with plans and specifications; conducted quality surveys and audits necessary for progress payments; supervised preparation of "as built" drawings; and negotiated field change orders and approved contractor progress payments.

Construction management for Andersen AFB, supervising 22 engineers and construction inspectors on 15 contracts in excess of $60 million. Reviewed and approved plans and specifications, reviewed and approved submittals on materials, directed inspectors, and made final acceptance on completed facilities. Projects included B-52 corrosion control hangar; improvements, renovations and repairs to 1,000 housing units; repairs to 20 fuel storage tanks; and a vehicle maintenance shop, all part of the Typhoon Pamela restoration program.

Other Positions:

Graduate Student Texas A&M University January 1977 - December 1978
Assistant Public Works Officer April 1975 - December 1976
Civil Engineer Corps Basic School January 1975 - March 1975
Navy Recruiting Command October 1973 - December 1974
Navy Nuclear Power Schools (2nd in class) July 1972 - October 1973

Detailed Relevant Work History

Senior Project Manager, OHM Remediation Services Corp/IT Group, San Diego (1996 to 2000) 
Mr. Clements was the base lead project manager for the Navy South Bay Area Focus Team, responsible for Remedial Action Contract (RAC) projects at NAS North Island, NAB Coronado, and NAVSTA San Diego. He directly managed seven design/build/operate RAC delivery orders (DOs) worth more than $18.7M, including responsibility for quality control, cost control, scheduling, assigned personnel, and problem resolution. He supervised up to 3 subordinate project managers and led a team of 50 professional and construction personnel along with more than 40 subcontractors on a total of 19 DOs valued at over $37M. Because of Mr. Clements’ efforts in cost control, project management, team building, and partnership, the project teams boosted their performance on eight DOs within 1 year from Level 2 to 100% Level 1 performance on award fee evaluations. Mr. Clements tracks and controls project costs using weekly status reports and on-site cost/schedule reviews. Each DO is controlled by task activity work breakdown structure using Primavera® cost-loaded schedules. Budget underruns are flagged for the Navy to re-allocate funds to other projects. Weekly contractor QC meetings are held with the Navy.

Projects:

- Free Product Recovery, Fuel Farm, Naval Air Station, North Island: Project Manager for $4.1 million turnkey design, construction, operation and maintenance of an extraction system to remove free phase petroleum hydrocarbon from the top of the water table. Project also included design, plans and specs, & construction for $1.2 million repair and relining of Underground Storage Tanks and testing and repair of JP-5 pipelines.
- Remove VOCs From IR Sites 9 & 11, NAS North Island: Project Manager for a $9.2 million turnkey design construction, installation and operation of SVE wells and treatment systems at two IR Sites, including 65 SVE wells, two 3,000 SCFM SVE systems, each with on-site carbon regeneration. Follow on installation of boiler and steam injection system on all 65 wells.
- OU 19/20 – Characterization and remediation, Remedial Investigation report, interim remedial action, in-situ chemical oxidation pilot test, steam enhanced free product recovery pilot test
• Soil Remediation At Bldg 103/109 Gas Station, NAB Coronado. Project Manager for a $1.6 million design, installation & operation of a SVE/Air Sparge Treatment system to remediate the soil contamination resulting from a gasoline plume from old gasoline tanks at the NAB government gas station

• Remediate Metal Contaminated Soil at MWR Marina, Naval Amphibious Base Coronado. Project manager for a $1.1 million project to remediate soil contamination resulting from recreational boat hull washing and painting operations at the MWR Marina, IR Site 6. Work includes preparation of EE/CA, Action Memorandum, and Remedial Action Workplan. Construction work includes the removal of 770 CY of contaminated soil by excavation, transportation, disposal and backfill. Other aspects of project include in-situ waste characterization, verification sampling and site closure report.

• Innovative Technologies. North Island RAC team was known for the use of innovative technologies. Two projects utilized different in-situ chemical oxidation technologies and two used steam injection to enhance LNAPL and soil vapor extraction.

Project Manager and Branch Manager, Metcalf & Eddy, San Diego, CA (September 1993-July 1996)
Branch Manager, 45 person office doing $15 million in design annually. Supervise administrative staff and CADD department. Manage office services and budget. Duties also include writing business plans, operating reports to regional director, managing bid and proposal efforts, and resource management for entire West region. Direct local and regional computer operations, supervise network administrators, perform software training, and purchase hardware and software.

Project Manager for $3.3 million turnkey design, construction, operation and maintenance of an extraction system to remove free phase petroleum hydrocarbon from the top of the water table in the fuel farm at Naval Air Station, North Island. Supervised the design and preparation of plans and specifications for $1.2 million repair and relining of Underground Storage Tanks and testing and repair of JP-5 pipelines.

Project Manager for a Title V air emissions inventory and compliance plan for NAS North Island. This task order project for the Southwest Division included a fence-line to fence-line inventory of all permitted and exempt sources, development of a dynamic database to perform calculations of emissions, evaluation of alternate operating scenarios, and submission of Title V air permits. This is a $600 thousand project.

Project Manager for Indefinite Quantity engineering services contract with PWC San Diego. Directed task order work assignments addressing wastewater, industrial waste and hazardous waste management at Navy facilities in California.

Project Manager for a $900 thousand O&M training project for the City of San Diego Metropolitan Wastewater Department. Subcontractor to the Program Manager, Montgomery- Watson.

Project Manager for $141 thousand design of a reclaimed water pipeline for the City of San Diego. Subcontractor to AKM. Responsible for cost estimates, scheduling, permits and specifications.

Project Manager for a $154 thousand groundwater infiltration study for San Luis Obispo County in order to determine design criteria for a conventional sewer system.

Public Works Officer, NAS North Island, San Diego, CA (August 1990-July 1993)
Duties: Provide all civil engineering services, including facilities planning, maintenance and repair to facilities and equipment, new construction, utilities, transportation and environmental engineering support for $3 billion Naval Air Station North Island seaport/aviation/industrial complex as well as Auxiliary Landing Field, San Clemente Island and Outlying Landing Field, Imperial Beach. Directly supervise 36 engineers, technicians and support personnel. Manage a $30 million operation and maintenance budget.

Level of Responsibility: The senior civil engineer for major operational/industrial complex, responsible for all providing all civil engineering support to 40,000 acre complex, including airfield runways and taxiways, aircraft hangars, utility systems, personnel support and administrative buildings, aircraft carrier berthing piers, weapons storage and industrial facilities. Final authority on all site approvals, design plans
and specifications for maintenance repair and construction, environmental documentation, including
categorical exclusions and Environmental Impact Statements.

Engineering Decisions Made: Prioritization of all facility maintenance and repair: What can be deferred and
what can't; criteria used includes health and safety, mission importance, life-cycle costs. Selection of
materials and methods of maintenance and repair of facilities. Development and control of operating and
maintenance procedures for all facilities. Facility problem resolution: evaluation, review and approve
proposed solutions prior to implementation. Determine when expert outside consultation is required.
Evaluate qualifications of consultants and the validity and applicability of their recommendations. Final
acceptance reviews of completed construction. Coordination with other engineering disciplines. Facility
planning: certification of facility projects, approval of cost estimates, selection of alternatives.

Projects: Final design approval on hundreds of maintenance and repair projects, ranging from pavements
and roofing to dredging and structures. Designed 21 new hazardous waste accumulation sites to conform
with current environmental laws. Feasibility study for homeporting larger aircraft carriers, including
evaluation of 5 alternatives. Resolved soil stabilization problems on runway and taxiway shoulders and
infields. Performed storm drainage study for airfield at Imperial Beach. Selected heating system for remote
location San Clemente Island from alternatives. Reviewed site remediation work plans for hazardous
waste sites.

Approved design for fuel farm upgrade program, including monitoring system and secondary containment.
Leak testing underground tanks and pipelines. Ran award winning Energy Conservation program: lighting,
water, steam, electricity. Completed a Master Plan for North Island complex recognized as best in the Navy.

Executive Officer, Naval Mobile Construction Battalion One (May 1985-July 1987)
Duties: Executive Officer (second in command) of a 650 man Mobile Construction Battalion (Seabee)
tasked with construction, ground defense, and disaster recovery operations. Supervised 21 officers,
including engineers and other professional support personnel.

Level of Responsibility: As Chief of Staff of this construction organization, I planned, coordinated, and
directed the entire range of business operations, including construction effort, scheduling and allocation of
critical equipment and materials for up to twelve simultaneous horizontal and vertical construction projects.

Engineering Decisions Made: Evaluated progress, solved problems, maintained balanced effort between
projects, and completed all projects on time and within budget.

Projects: Construction of drainage structures, additions and renovations to buildings, erection of pre-
engineered structures, renovations of berthing spaces.

Assistant Head, Acquisition Department, Atlantic Division, Naval Facilities Engineering Command,
Norfolk, VA (August 1983-April 1985)
Duties: Assistant head of Acquisition Department responsible for project management, design, contract
award, and construction management of an annual $500 million military construction program.

Level of Responsibility: Chairman of design liability and responsibility board, senior member on significant
selection panels and negotiation boards. Performed internal review and audits of field contract administration
offices.

Engineering Decisions Made: Evaluated qualifications of consultants for large design projects. Reviewed,
analyzed, and adjudicated claims of design liability on construction projects. Project problem resolution:
evaluation, review and approve solutions prior to implementation. Evaluate validity and applicability of
consultant recommendations. Reviewed, analyzed, and adjudicated claims on construction contracts.

Projects: Coal burning power plant at Naval Amphibious Base, Little Creek, VA. Trash burning cogeneration
power plant at the Norfolk Naval Shipyard. International airport terminal in Iceland. Many smaller
construction projects, including bachelor housing, offices, industrial facilities, and major maintenance and repair projects.

**Head Facility Planning Department Navy Public Works Center, Norfolk, VA (August 1981-July 1983)**

Duties: Department Head in 2,000 employee Public Works Center. Supervised 55 engineers, contract administrators, inspectors, and support personnel, responsible for facility planning, design contract management and service contracts at the largest Naval Base in the world.

Level of Responsibility: Reviewed and approved proposed engineering solutions and products prior to their submission to customers for ultimate implementation. Personally made engineering decisions on the larger and most complex projects. Evaluate qualifications of consultants and the validity and applicability of their recommendations.

Engineering Decisions Made: Project problem resolution: evaluation, review and approve solutions prior to implementation. Facility planning: certification of facility projects, approval of cost estimates, selection of alternatives. Approval of design methods and materials used.

Projects: Team leader major planning study: evaluated 15 existing piers, quantified demands of newer and larger ships, identified deficiencies, recommended solutions, prepared cost estimates, and project documentation consisting of nine projects for $110 million in facility improvements on waterfront and shore-side support. Team leader for first five-year maintenance plan for all PWC facilities.

**Director of Construction/Resident Officer in Charge of Construction, OICC Marianas, Guam (March 1980-July 1981)**

Duties: Construction management for Department of Interior $200 million Five Year capital improvement program for the Trust Territory of the Pacific Islands. Supervised 25 engineers and construction inspectors through five field offices on the islands of Palau, Yap, Truk, Ponape, and Kosrae. Monitored designs, conducted design review conferences, organized bid items, conducted pre-bid conferences, and administered 12 construction contracts worth $114 million.

Level of Responsibility: Developed, reviewed or approved solutions to design/construction engineering problems depending on complexity. Determined when expert outside consultation was required. Evaluated qualifications of consultants and the validity and applicability of their recommendations.

Engineering Decisions Made: Quarry production methods and changes when production varied from anticipated. Performed or approved asphalt mix designs, made changes to utilize locally available materials.

Projects: Paved over existing coral runway on Ponape with asphaltic concrete, while maintaining the runway operational for daily 727 flights. Extended and paved coral runway into Truk lagoon while keeping runway operational. Constructed runway on reef where none existed before on Kosrae. Upgraded, lengthened, paved runway on Palau. Relocated paved runway on Yap. Constructed and paved new roads, paved existing dirt roads, installed water distribution systems, and power plant upgrades on all five islands.

**Resident Officer in Charge of Construction, OICC Marianas, Guam (January 1979-February 1980)**

Duties: Construction management for Anderson Air Force Base, Guam. Senior project engineer, supervised 22 engineers and construction inspectors on 15 construction contracts in excess of $60 million. Reviewed and approved plans and specifications, reviewed and approved submittals on materials, directed inspectors, made final acceptance for on completed contract work.

Level of Responsibility: Developed, reviewed or approved solutions to design/construction engineering problems depending on complexity. Determined when expert outside consultation was required. Evaluated qualifications of consultants and the validity and applicability of their recommendations. Final acceptance for completed construction.

Engineering Decisions Made: Selected or approved construction methods and materials. Prepared or approved designs for project modifications as the necessity for changes to plans and specifications arose.
Analyzed and approved contractor submitted value engineered change proposal on $4.5 million B-52 corrosion control hangar to change from poured-in-place concrete to a precast folded plate arch design. Recommended new construction techniques and specifications for liquid applied elastomeric and foam roof coverings.

Projects: Construction of B-52 corrosion control hangar. Roof replacement, installation of typhoon proof windows and doors, replacement of air conditioning systems, and interior/exterior painting on 500 housing units. Construction of refuel vehicle maintenance shop. Repaired, relined, repainted 20 above ground fuel storage tanks.
Applicant name: Kenney, Michael L.

Position applied for: A licensed architect, civil engineer, or traffic engineer.
APPLICATION TO SERVE ON THE INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE

(This is a fill-in PDF form)

Application submission

To submit your application, please complete and submit the following information to the office listed below no later than March 22, 2019:

1. All eight pages of the completed and signed application form;
2. A copy of your resume;
3. A completed and signed Statement of Economic Interests (Form 700); and
4. Any additional information you believe relevant to your application.

SANDAG
Attn: Ariana zur Nieden
401 B Street, Suite 800
San Diego, CA 92101
If submitted by email: ariana.zurnieden@sandag.org
(with a subject line of “SANDAG Independent Taxpayer Oversight Committee”)

Your application is deemed submitted when you receive an acknowledgement from SANDAG.

If you need additional space to answer any of the following questions, please provide the information in an attachment.

Section A – General information

Title ☑ Mr. ☐ Ms.
Name (last, first, middle) Kenney, Michael L
Email address
Primary telephone number
Number Specify type: ☑ Mobile ☐ Work ☐ Home
Alternative telephone number (optional)
Number Specify type: ☐ Mobile ☑ Work ☐ Home
Current address (number, street, city, state, ZIP code)
Section B – Licenses or certifications relevant to the position

Please list the type of license or certificate, issuing state/entity, license number, date of issue/expiration date:

Civil Engineer CA C56661 Exp. June 30, 2019
Traffic Engineer CA TR2045 Exp. June 30, 2019

Section C – Education (college/university or other education history)

Please list the school, degree, major, year earned:

San Diego State University, BSCE, Civil Engineering, 1993

Section D – Civic/community/volunteer experience over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

Roosevelt Middle School Foundation Volunteer (2016-2017)

Section E - Professional/other relevant organizations over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

Institute of Traffic Engineers (ITE) San Diego Chapter Past President (1993 to present)

Section F – Three references familiar with your qualifications for this appointment

Please list the reference name, your association with reference, reference telephone number:

Mr. Kai Ramer, P.E. COO of Rick Engineering (619) 291-0707 (Previous Direct Supervisor)
Mr. Gregg McKann, COO of McCain Inc., (760) 727-8100 (Company President)
Mr. Douglas Provins, Senior Geotechnical Engineer for SDG&E, (619) 934-6934 (Lifelong Colleague)
Section G – Vacancy available

The following Independent Taxpayer Oversight Committee position(s), shown in **BOLD**, is/are VACANT. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

- A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector.

- ✔️ A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.

  Michael L. Kenney is a registered Civil and Traffic Engineer in the State of California with over 28 years’ experience. He has prepared traffic design plans as well as planning studies and operational analysis for agencies all over California and Arizona. He has owned his own firm in addition to working for several local Consulting Engineering firms. He has public agency experience as well. He has taught traffic engineering courses at the college level and served as President of the local traffic engineering professional association.

- A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition.
Section G – Vacancy available (continued)

The following Independent Taxpayer Oversight Committee position(s), shown in **BOLD**, is/are vacant. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

- [ ] A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.

- [ ] A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector.

- [ ] A chief executive officer or person in a similar senior-level decision-making position of a major private sector employer with demonstrated experience in leading a large organization.
Section G – Vacancy available (continued)

The following Independent Taxpayer Oversight Committee position(s), shown in **BOLD**, is/are vacant. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

☐ A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.
Section H – About yourself, as related to the job position

Please respond as concisely as possible. Applications will not be judged on length of response.

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?

The careful management of the regional transportation system contributes directly to our quality of life. As a member of the ITOC, I will contribute my efforts to that very important goal. These projects are large and occur over many years at great cost to the taxpayer. As a member of the ITOC committee, I will be able to help ensure that the fund are spent in a manner consistent with the guidelines that the taxpayers intended.

I am a prior member of the ITOC having served in 2011. I enjoyed the work and found it rewarding. By accepting the position of County Traffic Engineer with the County of San Diego, a member agency, I was no longer eligible and resigned. No longer working for the County, I am again eligible and anxious to continue the work.

As a local Traffic Engineer, the opportunity to be familiar with every TransNet project in the region is exciting.

2. What professional skills and abilities would you bring to the committee, and how would they benefit the ITOC?

My background is in Traffic/Civil Engineering. My career has been spent primarily in San Diego County working on regional Transportation projects as a Traffic Engineer, My skill set that apply to this position include; how to read a complex engineering plan set, the ability to understand a detailed construction budget, the understanding of financing public works projects, and the understanding of the public works processes in general.

I have worked as a Consulting Engineer and a Public Agency Engineer developing an ability to evaluate a project from multiple views.

As the prior Traffic Engineer for the County of San Diego, I have a good understanding of the processes that the member agencies go through to participate in the TransNet processes.

3. What do you hope to accomplish by your participation in the ITOC?

I hope to move the TransNet program along in the way the taxpayers intended and I want to serve my community. I look forward to the opportunity to participate in ITOC.
Section I – Affiliations

To the best of your knowledge, have you currently, or have you ever been, affiliated (as a director, officer, partner, trustee, employee, owner, advisor, or consultant) with any institution, organization, or business entity that might present an actual, potential, or apparent conflict of interest should you be appointed? An example would include, but not be limited to, a contract with SANDAG.

- [ ] Yes (If “Yes”, please explain below)
- [x] No

McCain Inc., my current employer, has contracts to provide Traffic Engineering services to SANDAG agencies. I have worked for the County of San Diego in the past. Neither are a conflict of interest.

Section J – Financial holdings and property

To the best of your knowledge, do you have financial holdings in or receive income from any source or own real property or personal property that might present an actual, potential, or apparent conflict of interest should you be appointed?

- [ ] Yes (If “Yes”, please explain below)
- [x] No

No. I own my residence and own no other property.
**Applicant certifies**

All statements in this application are true and correct to the best of my knowledge and belief. I understand that false or misleading answers are cause for rejection of this application.

By submitting my application to SANDAG, I authorize employers, schools, law enforcement agencies, and other individuals and organizations named in this application to provide candid and full information regarding my work record, job performance, volunteer work or service as a public official, character, ability, and fitness to authorized employees of SANDAG. I understand that the information may be positive, negative, confidential, and/or privileged in nature and may be used by SANDAG in any phase of the application process. I release current and previous employers, schools, law enforcement agencies, individuals, organizations, and SANDAG and its employees/representatives from any liability and/or damages that may result from the release, receipt, or use of requested information.

By checking the "I agree" box below, I hereby certify that I have read and understood the instructions, conditions, and other information provided in this document.

<table>
<thead>
<tr>
<th>I agree</th>
<th>Signature (please type name)</th>
<th>Date</th>
</tr>
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<tbody>
<tr>
<td>✔</td>
<td>Michael L. Kenney</td>
<td>03/25/2019</td>
</tr>
</tbody>
</table>

Please feel free to provide additional information or letters of endorsement.

Thank you for your interest in serving on the SANDAG Independent Taxpayer Oversight Committee.
Michael L. Kenney is a Civil and Traffic Engineer with over 28 years’ experience. He has prepared traffic signals plans, and traffic signal timing for agencies all over California and Arizona. He has prepared traffic studies for both private and public entities. He has also served as City Traffic Engineer for several Cities. A few of Mr. Kenney’s representative engagements are:

- **Senior Traffic Engineer - McCain Inc. (March 2017 – Present):** Mike Kenney works in the Intelligent Transportation Systems (ITS) Department of McCain. He is the Senior Traffic Engineer in charge of signal timing installation and migration. He leads the installation of citywide traffic signal software projects throughout the United States. He is an expert in signal timing and traffic signal controller operations.

- **Senior Traffic Engineer - Chen Ryan and Associates - (March 2017 – March 2015)** Mike Kenney was the Senior Traffic for Chen Ryan and Associates preparing traffic signal plans, traffic control plans, and signing/striping plans. He was the project manager for the Clean Water pipeline traffic control project preparing over 200 traffic control plans.

- **County Traffic Engineer – County of San Diego, CA (March 2012 – March 2015):** Mike Kenney served as the County of San Diego’s Traffic Engineer. He managed a staff of 15 Traffic Engineers within the Department of Public Works. His work included reviewing new projects and managing community traffic concerns. He was the Engineer of Record for all of the traffic signal design projects initiated by the Capital Improvement Projects section.

- **Principal and Owner – Kenney Engineering (October 2010 – March 2012):** Mike Kenney has a passion for Traffic Engineering which led him to form his own firm, Kenney Engineering. His client base included numerous public and private clients including the Cities of Temecula and Chula Vista. He retimed the entire City of Temecula’s traffic signal system and installed the first Traffic Adaptive Signal Timing System in Riverside County.

- **Associate – Rick Engineering Company (November 1995 – October 2010)** Mike worked at Rick Engineering Company for 15 years, rising to the position of Associate. He was the first Traffic Engineer hired and grew the traffic engineering section to 10 engineers. In addition to managing his design group, he served as Contract Traffic Engineer for several Cities. Some of them are:
  - **City of Lake Forest, CA (Nov 2008 – Nov 2010)**
  - **City of Vista, CA (Nov 2006 – Nov 2008)**
  - **City of Murrieta, CA (Nov 2003 – Nov 2006)**
Applicants Requesting Reappointment
Applicant name: Fuller, Dustin James

Position applied for: A professional in biology or environmental science
Application submission

To submit your application, please complete and submit the following information to the office listed below no later than January 25, 2019:

1. All eight pages of the completed and signed application form;
2. A copy of your resume; and
3. Any additional information you believe relevant to your application.

Please note that SANDAG already has a completed and signed Statement of Economic Interests (Form 700) on file for members seeking reappointment.

SANDAG
Attn: Ariana zur Nieden
401 B Street, Suite 800
San Diego, CA 92101

If submitted by email: ariana.zurnieden@sandag.org
(with a subject line of “SANDAG Independent Taxpayer Oversight Committee Request for Reappointment”)

Your application is deemed submitted when you receive an acknowledgement from SANDAG.

If you need additional space to answer any of the following questions, please provide the information in an attachment.

Section A – General information

Title  ✔ Mr.  □ Ms.
Name (last, first, middle)  Fuller, Dustin James
Email address
Primary telephone number
Number  Specify type:  ✔ Mobile  □ Work  □ Home
Alternative telephone number (optional)
Number  Specify type:  □ Mobile  ✔ Work  □ Home
Current address (number, street, city, state, ZIP code)
Section B – Licenses or certifications relevant to the position

Please list the type of license or certificate, issuing state/entity, license number, date of issue/expiration date:

Section C – Education (college/university or other education history)

Please list the school, degree, major, year earned:

B.A. Social Science (Emphasis in the Environment), San Diego State University, 1996
Graduate Studies in City Planning (Environmental), San Diego State University, 1997-1998

Section D – Civic/community/volunteer experience over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

*SANDAG ITOC, San Diego, CA - oversight of TransNet on behalf of taxpayers (2015-present)
*City of Del Mar Sea Level Rise Technical Advisory Committee, Del Mar, CA: advisory committee member to establish Del Mar’s Sea Level Rise Policy document: 2015-2018
* San Dieguito River Park Joint Powers Authority (Ex-Officio member), multiple jurisdictions, Board overseeing the river park and Coast to Crest Trail (Volcan Mountain to beach in Del Mar).

Section E - Professional/other relevant organizations over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

Association of Environmental Professionals, statewide organization of professionals predominantly focused on the California Environmental Quality Act, 1998-present

Section F – Three references familiar with your qualifications for this appointment

Please list the reference name, your association with reference, reference telephone number:

Gary Reist, immediate supervisor 858-792-4202
David Watson, Director on the 22nd DAA Board of Directors 619-744-2289
Timothy Fennell, CEO 22nd DAA 858-792-4200
Section G – Positions Eligible for Reappointment

The following Independent Taxpayer Oversight Committee positions, shown in BOLD, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

☐ A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector.

☐ A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition.
Section G – Positions Eligible for Reappointment (continued)

The following Independent Taxpayer Oversight Committee positions, shown in **BOLD**, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

☐ A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.

☐ A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector.

☐ A chief executive officer or person in a similar senior-level decision-making position of a major private sector employer with demonstrated experience in leading a large organization.
Section G – Positions Eligible for Reappointment (continued)

The following Independent Taxpayer Oversight Committee positions, shown in **BOLD**, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

![✓]

A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.

I have over 20 years’ experience in the environmental consulting field and am very familiar with environmental regulations in the San Diego region. I am also responsible for habitat restoration of 10+ acres of wetlands at the Del Mar Fairgrounds (phased implementation) as well as the management of four least tern nesting sites within the San Dieguito Wetlands Restoration Project area. I have experience balancing multiple stakeholder concerns within restoration areas as well as working with resource agencies on permitting. I believe that my skill set would allow me to provide this perspective and input to the ITOC.
Section H – About yourself, as related to the job position

Please respond as concisely as possible. Applications will not be judged on length of response.

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?

I feel like I have invested a lot of time and energy in understanding the TransNet program, the role of the ITOC. It's a complex program. I would very much like to continue my appointment to this committee to help administer the important TransNet program to provide the region with the necessary funding to implement important and needed transportation improvements and to ensure that those public dollars are utilized in a timely and efficient manner for the benefit of the taxpayer.

2. What professional skills and abilities would you bring to the committee, and how would they benefit the ITOC?

I have the knowledge base of being on the Committee for the last 5 years. It is a complicated program with TransNet being only a small portion. I would bring that knowledge. Additionally, I have the skills and ability to prepare for approval all CEQA and NEPA documents as well as permits through all of the resource agencies (Coastal Commission, CA Fish and Wildlife, US Fish and Wildlife, US Army Corps of Engineers, Regional Water Quality Control Board). I have the ability to negotiate permitting agreements pertaining to restoration amongst multiple stakeholders. I have been intimately involved in settlement negotiations for CEQA lawsuits as well as a major author of a Consent Order with the Coastal Commission. I have represented my agency in meetings of local and regional significance and have learned to balance the needs of my employer with those of other interested parties/stakeholders. I believe these experiences have provided me with the skills to communicate well, to listen well and to make decisions/take actions based on all the available information.

3. What do you hope to accomplish by your participation in the ITOC?

To continue to serve my community and the San Diego region as a whole by providing necessary checks and balances on how TransNet dollars are allocated/spent. As a commuter myself, improvements to the circulation system (not just roadway improvements) are vital. As a planner, I understand the demands that our circulation system is currently under and what the future holds (increased population). I would like to use my skills and knowledge, as best I can, to benefit TransNet and the County.
Section I – Affiliations

To the best of your knowledge, have you currently, or have you ever been, affiliated (as a director, officer, partner, trustee, employee, owner, advisor, or consultant) with any institution, organization, or business entity that might present an actual, potential, or apparent conflict of interest should you be appointed? An example would include, but not be limited to, a contract with SANDAG.

- [ ] Yes *(if “Yes”, please explain below)*
- ✔ No

Section J – Financial holdings and property

To the best of your knowledge, do you have financial holdings in or receive income from any source or own real property or personal property that might present an actual, potential, or apparent conflict of interest should you be appointed?

- [ ] Yes *(if “Yes”, please explain below)*
- ✔ No
Applicant certifies

All statements in this application are true and correct to the best of my knowledge and belief. I understand that false or misleading answers are cause for rejection of this application.

By submitting my application to SANDAG, I authorize employers, schools, law enforcement agencies, and other individuals and organizations named in this application to provide candid and full information regarding my work record, job performance, volunteer work or service as a public official, character, ability, and fitness to authorized employees of SANDAG. I understand that the information may be positive, negative, confidential, and/or privileged in nature and may be used by SANDAG in any phase of the application process. I release current and previous employers, schools, law enforcement agencies, individuals, organizations, and SANDAG and its employees/representatives from any liability and/or damages that may result from the release, receipt, or use of requested information.

By checking the “I agree” box below, I hereby certify that I have read and understood the instructions, conditions, and other information provided in this document.

I agree  Signature (please type name)  Date

✔ Dustin J. Fuller  01/22/2019

Please feel free to provide additional information or letters of endorsement.
Thank you for your interest in serving on the SANDAG Independent Taxpayer Oversight Committee.
DUSTIN FULLER

CAREER PROFILE

Mr. Fuller is the Supervising Environmental Planner for the 22nd District Agricultural Association (22nd DAA) with extensive, proven experience managing difficult and politically challenging land use decisions. With over 20 years honing his unique skillset, Mr. Fuller brought to the 22nd DAA a proficiency in environmental review and documentation for compliance with the California Environmental Quality Act and National Environmental Policy Act, project management, resources management, implementation of environmental programs and agency coordination. As lead for environmental compliance at the 22nd DAA, Mr. Fuller has been required to manage the preparation of numerous environmental documents for a myriad of projects including commercial/retail uses, residential uses, general plans and general plan updates, specific plans, redevelopment projects, public works projects, and mixed-use projects. Mr. Fuller has prepared land use entitlement permits such as Site Development Permits, Planned Development Permits and Conditional Use Permits. He is skilled in procuring county and city entitlements and processing permits with resource agencies such as U.S. Army Corps of Engineers 404 permits, State Fish and Game Section 1600 permits, California Coastal Commission Development Permits, and Regional Water Quality Control Board Certification Permits. Mr. Fuller is responsible for all aspects of project management including coordination between consultants and City/County/Agency staff to ensure that project objectives are met and that all potential issues are evaluated and/or addressed. Mr. Fuller is also a member of the Association of Environmental Professionals. Since Mr. Fuller’s employment, the 22nd DAA has become recognized for environmental leadership and professionalism in mitigating conflict and creating cooperation and collaboration between unlikely stakeholders.

Mr. Fuller’s role at the 22nd DAA also includes a political affairs and governmental relations component that had been exacerbated by a long history of environmental disagreements. During Mr. Fuller’s tenure, there has been a vast improvement in the relationships between the 22nd DAA, California Coastal Commission, neighboring Cities and regulatory entities. Mr. Fuller gained political confidence in his professional management of the 22nd DAA’s environmental stewardship including tracking, understanding and implementing new and revised legislation, rules, policies and regulations that affect the 22nd DAA. From the Food and Agriculture code that governs the facility to storm water regulations to Assembly/Senate Bills, Mr. Fuller continually is required to review, comment and provide input to superiors and the Board of Directors. Mr. Fuller also regularly participates in Community Relations meetings that generally consist of the mayors of Solana Beach and Del Mar, the City Manager from each city and a City Councilmember as well as a representative from the Speaker of California State Assembly Toni Atkins office. Additional duties include regular meetings with representatives of the San Diego Association of Governments, North County Transit District, state and federal resources agencies, as well as community groups in the City of Del Mar (presentations).

Mr. Fuller works closely with all staff at the 22nd DAA to ensure that both required and 22nd DAA initiated environmental programs are implemented effectively. This includes close coordination on recycling programs as it pertains to both pre- and post-consumer food products, traditional recycling (glass, cans, paper) as well as reuse of livestock bedding, hay and straw; implementation of required storm water programs (Small MS4 and Industrial Permits); compliance with CA Air Resources Board rules and regulations for both on-road and off-road vehicles; coordination with San Diego Gas and Electric on energy reduction programs; programs to reduce the 22nd DAAs carbon footprint (or greenhouse gas reduction); Sea Level Rise issues; noise measurements, reduction and ordinances; biological resources and the 22nd DAAs potential impacts to these resources.

Attached is a more detailed description of Mr. Fuller’s extensive experience in environmental compliance and permitting, working with some of San Diego’s most esteemed companies.
PROFESSIONAL EXPERIENCE

22nd District Agricultural Association  January 2008-Present
- Responsible for all aspects of District compliance with environmental regulations.
- Represent the District at various meetings with local, state and federal representatives including – Coastal Commission, Cities of Del Mar Solana Beach, San Diego Association of Governments (SANDAG), San Dieguito River Park Joint Powers Authority, State Lands Commission, lawsuits.
- Responsible for applying for and procurement of required permits from various agencies (such as Coastal Commission, CA. Fish and Game, Army Corps, Regional Water Quality Control Board).
- Ensure that the District stays apprised of environmental rules, regulations as well as legislation that are applicable to the site. Including: storm water; air resources; noise; recycling; GHG reduction; energy use reduction; potable water use reduction.
- Along with the District’s Operation Manager, oversee the District’s Phase 2 Storm Water Management Plan; ensure compliance with BMPs, education and outreach components and identification of and implementation of storm water best management practices.
- Responsible for coordination and management of consultants for various projects (Master Plan EIR, wetland restoration, Consent Cease and Desist and Restoration Order with California Coastal Commission, Least Tern Nesting sites).
- Responsible for preparation of environmental documents for District projects (CEQA documentation, permit applications, etc.).
- At-Large Consultation Committee member for the San Dieguito River Watershed Co-Permittees Phase 2 Storm Water Management Plan
- San Diego Association of Governments – Chair of the Independent Taxpayers Oversight Committee for TransNet; Technical Working Groups: San Dieguito Double Track, Bridge Replacement and Platform; I-5 North Coast Corridor Transportation Demand Management
- City of Del Mar Sea Level Rise Stakeholder Technical Advisory Committee
- Ex-Officio Board member of the San Dieguito River Park Joint Powers Authority
- Liaison with CERF, Coast Keeper, Surf Rider, San Dieguito JPA, Sierra Club, I Love A Clean San Diego

- Responsible for the preparation and coordination of environmental and planning documents for a wide-variety of public and private clients.
- Responsible for all aspects of project management including coordination and meeting with clients, coordination with sub-consultants, oversight of in-house staff, review of draft reports including technical reports.
- Working with past clients to develop marketing and business leads within the Southern California region. Tasks include preparation of proposals, research and tracking of potential leads, client presentations, and attendance at project interviews.
Dustin Fuller Resume

• Responsible for the preparation and coordination of environmental and planning documents for a wide-variety of public and private clients.
• Responsible for all aspects of project management including coordination and meeting with clients, coordination with sub-consultants, oversight of in-house staff, review of draft reports including technical reports.
• Participate in marketing and business development both locally (San Diego County) and regionally (Southern California). Tasks include preparation of proposals, research and tracking of potential leads, client presentations, and potential new job interviews.
• Member of the firms ~45-person Future Leaders program. Program is responsible for development of programs, ideas, and policies to help shape the path of the company in five, ten, and twenty-five years.

ProjectDesign Consultants  January 2001-May 2003
• Responsible for the preparation of environmental documents including Environmental Impact Reports, Negative Declarations, Air Quality Reports.
• Assisted with the coordination between both clients and sub-consultants, resource agencies, and City/County staff.
• Assist in team effort of preparation of environmental documents including various sections of environmental documents, notices of preparation and completion, response to responsible agency comments, and forwarding documents to State Clearinghouse.
• Research and analysis of existing General Plans, Tentative Maps, Zoning Ordinances; knowledge of local, state and federal laws as they pertain to land use and planning.

AMEC Earth and Environmental (formerly Ogden Environmental and Energy Services)  February 2000- January 2001
• Preparation of environmental documents including Environmental Impact Reports, Negative Declarations, Air and Noise Quality Reports.
• Projects including Air Force Base air studies, habitat conservation programs, airport studies, City and local environmental documents.
• Assist in team effort of preparation of environmental documents including notices of preparation and completion, response to responsible agency comments, and forwarding documents to State Clearinghouse.

City of Los Angeles, Environmental Affairs Department  October 1999- January 2000
• Review and analysis of proposed legislation, policies, and programs relating to air quality to determine impacts on City operations.
• Make recommendations in accordance with established environmental policy and legislation.
• Review of Initial Studies/Negative Declarations and Environmental Impact Reports (CEQA), Environmental Analysis and Environmental Impact Statements to determine project impacts to City.
• Duties include research, agency meetings, preparation of correspondence to local, state, and federal agencies and City Council and preparation of written reports.

Terry A. Hayes Associates  April 1999- October 1999
• Assist with the preparation of CEQA/NEPA environmental documents as well as Air and Noise Quality technical reports
• Projects including Housing and Urban Development Environmental Compliance, Redevelopment and Infill projects.
• Assist in team effort of preparation of environmental documents including notices of preparation and completion, responsible agency comments, and forwarding documents to State Clearinghouse.
- Responsible for CEQA and NEPA documentation as required by State and Federal Law.
- Projects including Negative Declarations, Environmental Impact Reports, Sphere of Influence Change and Annexation, General and Specific Plan Amendments.
- Responsible for all aspect of project including notices of preparation and completion, responsible agency comments, and forwarding documents to State Clearinghouse.
- Research and analysis of existing General Plans, Tentative Maps, Zoning Ordinances; knowledge of local, state and federal laws as they pertain to land use and planning.

Internship Positions (taken for school credit)
- Assist Sr. Regional Planner with Multiple Habitat Conservation Program.
- Responsible for coordinating and staffing meetings, preparation of agendas and minutes.
- Correspondence with elected officials, consultants, and citizens regarding all aspects of Habitat Conservation Planning and the Natural Community Conservation Plan Act.
- Aid in the preparation of a Consultants’ Working Draft for the Multiple Habitat Conservation Program.

City of Oceanside, Oceanside, CA Planning Department Intern, Feb. 1996- Aug. 1996
- Assisted at planning counter with permit issuance; zoning and general planning questions from the public.
- Completed an Initial Study for a proposed sculpture garden.
- Learned basics of planning including General Plans, Zoning Ordinances, CEQA, EIR’s Negative Declarations and Mitigation.
- Use of GIS generated maps to research possible acquisition sites for the City of Oceanside’s Focused Planning Area of the Multiple Habitat Conservation Program.

EDUCATION

Bachelors of Arts, Social Science (Environment), San Diego State University

Graduate Studies in City Planning (Environmental), San Diego State University
Applicant name: Halpern, Stewart A.

Position applied for: A professional in the field of municipal/public finance and/or budgeting.
Request for Reappointment to the Independent Taxpayer Oversight Committee

Application submission

To submit your application, please complete and submit the following information to the office listed below no later than January 25, 2019:

1. All eight pages of the completed and signed application form;
2. A copy of your resume; and
3. Any additional information you believe relevant to your application.

Please note that SANDAG already has a completed and signed Statement of Economic Interests (Form 700) on file for members seeking reappointment.

SANDAG
Attn: Ariana zur Nieden
401 B Street, Suite 800
San Diego, CA 92101

If submitted by email: ariana.zurnieden@sandag.org
(with a subject line of “SANDAG Independent Taxpayer Oversight Committee Request for Reappointment”)

Your application is deemed submitted when you receive an acknowledgement from SANDAG.

If you need additional space to answer any of the following questions, please provide the information in an attachment.

Section A – General information

Title ☑ Mr. ☐ Ms.
Name (last, first, middle) Halperm, Stewart, A.
Email address [Redacted]
Primary telephone number [Redacted]
Number Specify type: ☑ Mobile ☐ Work ☐ Home
Alternative telephone number (optional)
Number Specify type: ☐ Mobile ☐ Work ☐ Home
Current address (number, street, city, state, ZIP code) [Redacted]
Section B – Licenses or certifications relevant to the position

Please list the type of license or certificate, issuing state/entity, license number, date of issue/expiration date:

None currently

Section C – Education (college/university or other education history)

Please list the school, degree, major, year earned:

Yale College, Bachelor of Science, Administrative Sciences, 1978
Yale School of Management, Masters in Public and Private Management, Finance, 1982

Section D – Civic/community/volunteer experience over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

SANDAG Audit Committee, SD, CA, 2018-present
SANDAG ITOC, SD, CA, 2014-present
San Diego Coastkeeper Board of Directors, SD, CA, 2013-present
Ocean Discovery Institute Board of Directors, SD, CA, 2016-present
Free Flight Exotic Bird Sanctuary Board of Directors, Del Mar, CA, 2012-present
America's Finest Charter School Board of Directors, SD, CA, 2013-present

Section E - Professional/other relevant organizations over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

Public Leadership Institute of the San Diego Chamber of Commerce, SD, CA, 2014

Section F – Three references familiar with your qualifications for this appointment

Please list the reference name, your association with reference, reference telephone number:

Dick Vortman, fellow ITOC member, 858-740-6441
Tracy Drager, fellow ITOC member, 858-285-6020
Jose Nuncio, TransNet Program Director, 619-699-1908
Section G – Positions Eligible for Reappointment

The following Independent Taxpayer Oversight Committee positions, shown in **BOLD**, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

☑️ A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector.

I began my career in the Public Finance Department of The First Boston Corporation (now part of Credit Suisse), spending 2 years focused on financing of tax-exempt entities, along with authoring of a newsletter on tax-exempt credit markets and preparation of Congressional testimony relating to the Northeast Rail Service Act of 1981. I subsequently spent approximately 9 years as an equity research analyst for 3 major Wall Street firms, during which time I engaged in extensive financial statement analysis and financial modeling. I also have nearly 10 years' experience as the Chief Financial Officer of 3 different private sector companies (including one public company here in San Diego), in which roles I was the senior financial decision-making executive, with responsibilities including budgeting, managing external auditors, forecasting/planning/analysis, and as point-person for Audit Committee of the Corporate Board of Directors.

☐ A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition.
Section G – Positions Eligible for Reappointment (continued)

The following Independent Taxpayer Oversight Committee positions, shown in **BOLD**, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

- A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.

- A licensed engineer with appropriate credentials in the field of transportation, project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector.

- A chief executive officer or person in a similar senior-level decision-making position of a major private sector employer with demonstrated experience in leading a large organization.
Section G – Positions Eligible for Reappointment (continued)

The following Independent Taxpayer Oversight Committee positions, shown in **BOLD**, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

- A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.
Section H – About yourself, as related to the job position

Please respond as concisely as possible. Applications will not be judged on length of response.

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?

Having had a successful business career as a financial executive, and now being retired, I find it very fulfilling to devote my time to community and public service where I can use my skills and experiences to help San Diego and its citizens. From my service on the ITOC over the past several years, it has been gratifying to feel that my background in municipal finance, budgets, audits and financial analysis have been helpful to the ITOC in fulfilling its mission.

2. What professional skills and abilities would you bring to the committee, and how would they benefit the ITOC?

In addition to my background in municipal finance, I have extensive experience and skill in executive-level financial decision-making, managing external auditors, budgeting and budget/financial analysis. My service on the ITOC over the past several years, including serving as Chair of the ITOC Audit Committee and as a member of the ITOC Performance Audit Committee, has also provided me enhanced knowledge of the issues that come before the ITOC. Lastly, I continue to have the time and interest in being a prepared and engaged member of the Committee.

3. What do you hope to accomplish by your participation in the ITOC?

SANDAG's TransNet initiatives are vital to the continued growth and livability of our region. It is also true that such initiatives depend on the on-going support of the public and their confidence in the integrity and efficiency of how funds are being spent in executing the plan. My primary goal in participating for another term on the ITOC is to continue to utilize the full range of my skills/experience, in addition to my time and commitment, to enhance ITOC’s efforts to provide the public such confidence, which I believe is the backbone of the support SANDAG needs to continue its important work. Secondarily, I would like to use the communications training and experience I've had in my work as a public company CFO and equity analyst to continue the initiatives the ITOC and SANDAG have jointly undertaken to help make the often voluminous and complex information about TransNet more digestible, both to the public and SANDAG advisory boards.
Section I – Affiliations

To the best of your knowledge, have you currently, or have you ever been, affiliated (as a director, officer, partner, trustee, employee, owner, advisor, or consultant) with any institution, organization, or business entity that might present an actual, potential, or apparent conflict of interest should you be appointed? An example would include, but not be limited to, a contract with SANDAG.

Yes (If “Yes”, please explain below) ☑ No

Section J – Financial holdings and property

To the best of your knowledge, do you have financial holdings in or receive income from any source or own real property or personal property that might present an actual, potential, or apparent conflict of interest should you be appointed?

Yes (If “Yes”, please explain below) ☑ No
Applicant certifies

All statements in this application are true and correct to the best of my knowledge and belief. I understand that false or misleading answers are cause for rejection of this application.

By submitting my application to SANDAG, I authorize employers, schools, law enforcement agencies, and other individuals and organizations named in this application to provide candid and full information regarding my work record, job performance, volunteer work or service as a public official, character, ability, and fitness to authorized employees of SANDAG. I understand that the information may be positive, negative, confidential, and/or privileged in nature and may be used by SANDAG in any phase of the application process. I release current and previous employers, schools, law enforcement agencies, individuals, organizations, and SANDAG and its employees/representatives from any liability and/or damages that may result from the release, receipt, or use of requested information.

By checking the "I agree" box below, I hereby certify that I have read and understood the instructions, conditions, and other information provided in this document.

I agree Signature (please type name) Date

✔ Stewart A. Halpern 01/24/2019

Please feel free to provide additional information or letters of endorsement.

Thank you for your interest in serving on the SANDAG Independent Taxpayer Oversight Committee.
STEWART A. HALPERN

Over 30 years of non-profit and private sector financial leadership

OVER 6 YEARS OF NON-PROFIT LEADERSHIP

*SANDAG Audit Committee (SD, CA) – One of 3 citizens selected by the SANDAG BoD for this new (2018) committee
*SANDAG TransNet Independent Taxpayer Oversight Committee (SD, CA) – Audit Committee Chair, 2014-present
*Ocean Discovery Institute (San Diego, CA) – Development Committee Chair/Member of the Board, 2016-present
*Himalayan Cataract Project (Waterbury, VT) – Audit Committee Chair/Member of the Board of Directors, 2015-present
*San Diego Coastkeeper (San Diego, CA) – Treasurer/Member of the Board of Directors, 2013-present
*America’s Finest Charter School (San Diego, CA) – Member of the Board of Directors, 2013-present
*Free Flight Exotic Bird Sanctuary (Del Mar, CA) – Treasurer/Member of the Board of Directors, 2012-present

PRIVATE SECTOR C-LEVEL OPERATING EXPERIENCE

Madv Catz, Inc. (San Diego, CA) – CFO/de facto COO, 2007-2010
* Strategic partner to the CEO, functioned as de facto COO, led revitalization of company to double sales, EBITDA, profits and employees of publicly-traded micro-cap consumer electronics company
* Led and enhanced all finance, strategic and IT functions including: financial reporting and compliance; strategic planning/FPA; working capital management; M&A;IT; and external relationships with financial institutions, investment community, auditors and Audit Committee of the company Board of Directors
* During 2008 global economic crisis, formulated and managed execution of business plan to cut costs and grow revenue and profit without reducing headcount

Rockstar Games (New York, NY) – Head of Finance, 2005-2007
* Chief Financial Officer of this leading (>$500mm sales, over 700 people) videogame developer/publisher
* Responsibilities included: financial/strategic advice for CEO and President; oversight of financial, business/legal affairs, HR functions, annual budget process and Sarbanes-Oxley compliance; upgrade of senior management financial/business information; analysis/negotiation of acquisitions, publishing, licensing transactions; principal liaison w/publicly-traded parent company on financial and related affairs

Def Jam Music and Rush Communications (New York, NY) – Chief Financial Officer, 1993-1996
* Created/defined this new C-level role in the organization
* Responsible for all financial functions and strategic planning for the business interests of Russell Simmons (Def Jam Music, SLBG Television, Def Pictures Films, Phat Fashions apparel, Def Jam Interactive)
* Managed growth as sales/personnel nearly quadrupled; instituted operating plans/accounting systems for all businesses
* Functioned as COO of the Phat Fashions apparel business, providing daily management oversight
* Negotiated/executed strategic and financing transactions for all business units, most notably the sale of a 50% equity interest in Def Jam Music Group to Polygram

WALL STREET & ADVISORY EXPERIENCE

*M2 Insights, LLC (Rancho Santa Fe, CA) – Member, Board of Advisors, 2017-present
* Smart Property, LLC (San Diego, CA) – Member, Board of Advisors, 2016-present
* Roovy, Inc. (San Diego, CA) – Member, Board of Directors, 2012-present

RBC Capital Markets (New York, NY) – Managing Director, Equity Research, 2002-2005
* Provided sell-side equity research coverage focused on digital and traditional media/entertainment company stocks
* Consistently among the top 5 sellside analysts (per Starmine) in earnings estimate accuracy
Lukeswood Management (New York, NY) – Co-Portfolio Manager, 2001-2002
*One of three partners in start-up hedge fund sponsored by C.E. Unterberg, Towbin
*Outperformed the S&P500 by over 11 points (+4.3% vs –7.2%) during the life of the fund
*Disbanded when post-9/11 environment restricted ability to increase asset base

Banc of America Securities (New York, NY) – Managing Director, Equity Research, 1999-2000
*Provided sell-side equity research coverage focused on digital and traditional media/entertainment stocks
*Voted Institutional Investor All-American honorable mention, 1999 and 2000

ING Barings Furman Selz LLC (New York, NY) – Principal, Equity Research, 1996-1999
*Provided sell-side equity research coverage focused on digital and traditional media/entertainment stocks
*Voted Institutional Investor All-American honorable mention, 1997 and 1998

The Ministry of Privatization (Prague, Czech Republic) – Advisor to the Minister, 1991-1992
*Advised the Czech government on the privatization of state-owned assets
*Structured and negotiated the sale of Czech companies to major multi-nationals

Credit Suisse First Boston (New York, NY), Vice President, Investment Banking Group, 1986-1990
*Initiated and executed public/private equity and debt financings and M&A transactions, for major U.S. and European media/entertainment/communications companies
*Associate, Corporate Finance Department, 1982-1986; Analyst, Municipal Securities Department, 1978-1980

The Boston Consulting Group (Boston, MA) – Associate, summer 1981
*Analyzed the financial services industry to assess potential acquisitions for a Fortune 50 company

EDUCATION
Yale School of Management (New Haven, CT) – Masters of Public and Private Management, May 1982
*Concentration in Finance; Teaching Assistant for Finance II and undergraduate organizational behavior classes

Yale College (New Haven, CT) – Bachelor of Science in Administrative Sciences, May 1978
*Graduated cum laude with Departmental honors
*Captain and MVP, Yale Varsity Volleyball team

OTHER
*Qualified financial expert per Sarbanes-Oxley (SOX) Section 407
*Appointed to the Citizens Forum Board of the US International Boundary and Water Commission, 2016-2018
*Selected to the San Diego Public Leadership Institute Class of 2013-2014
*Ten-time participant/finisher of the New York City Marathon
*Past member of the Board of Directors, Yale Club of San Diego
*Past President of the Board of Managers, Glass Farmhouse Condominium (New York, NY)
*Past President of the Yale School of Management Alumni Association
*Associate Fellow, Timothy Dwight College (Yale University)
Applicant name: Ramer, Kai

Position applied for: A licensed engineer with appropriate credentials in the field of transportation project design or construction.
REQUEST FOR REAPPOINTMENT TO
THE INDEPENDENT TAXPAYER
OVERSIGHT COMMITTEE
(This is a fill-in PDF form.)

Application submission

To submit your application, please complete and submit the following information to the office listed below no later than January 25, 2019:

1. All eight pages of the completed and signed application form;
2. A copy of your resume, and
3. Any additional information you believe relevant to your application.

Please note that SANDAG already has a completed and signed Statement of Economic Interests (Form 700) on file for members seeking reappointment.

SANDAG
Attn: Ariana zur Nieden
401 B Street, Suite 800
San Diego, CA 92101

If submitted by email: ariana.zurnieden@sandag.org
(with a subject line of “SANDAG Independent Taxpayer Oversight Committee Request for Reappointment”)

Your application is deemed submitted when you receive an acknowledgement from SANDAG.

If you need additional space to answer any of the following questions, please provide the information in an attachment.

Section A – General information

Title ✔ Mr. □ Ms.

Name (last, first, middle)
Ramer, Kai

Email address

Primary telephone number

Number [redacted] Specify type: ✔ Mobile □ Work □ Home

Alternative telephone number (optional)
Number [redacted] Specify type: □ Mobile ✔ Work □ Home

Current address (number, street, city, state, ZIP code)

SANDAG Request for Reappointment to the Independent Taxpayer Oversight Committee January 2019

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Section B – Licenses or certifications relevant to the position

Please list the type of license or certificate, issuing state/entity, license number, date of issue/expiration date:

Licensed Civil Engineer CA: C44146 expires 6/30/19
Licensed Traffic Engineer CA: TR 1940 expires 6/30/20

Section C – Education (college/university or other education history)

Please list the school, degree, major, year earned:

Bachelor of Science in Civil Engineering
California State University, Chico, 1985

Section D – Civic/community/volunteer experience over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

Board of Management - East County YMCA 2003-2017
San Diego County Taxpayer's Association (infrastructure related committees and focus groups) 2008-present
SANDAG ITOC - Incumbent - Transportation Field

Section E - Professional/other relevant organizations over past five years

Please list the organization name, city/state, activity/type of work, dates (from/to):

American Council of Engineering Companies
  - Statewide Caltrans Liaison Committee member 2007-Present
  - State Annual Conference Organizing Committee 2017-Present
American Public Works Association - member
WTS - member
ITE - member

Section F – Three references familiar with your qualifications for this appointment

Please list the reference name, your association with reference, reference telephone number:

Roger Ball - President - Rick Engineering Company, direct supervisor, (619) 291-0707
Brian Jones - CA State Senator District 38, longtime community acquaintance, (619) 743-2278
Alan Carlisle - CEO/General Manager, Padre Dam Municipal Water District, longtime community and professional acquaintance (619) 258-4614
Section G – Positions Eligible for Reappointment

The following Independent Taxpayer Oversight Committee positions, shown in **BOLD**, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

- **A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector.**

- **A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.**

- **A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition.**
Section G – Positions Eligible for Reappointment (continued)

The following Independent Taxpayer Oversight Committee positions, shown in **BOLD**, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

- ✔ A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.

- A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector.

I am a licensed Civil and Traffic Engineer and have been actively practicing in the field of transportation project design for over 33 years. I have been in a senior/decision-making position for more than 20 years. I work for Rick Engineering Company, a private consulting firm based in San Diego. The majority of my experience has been in the design and planning of transportation facilities, for both public and private clients and entities. Work has included local streets and roads, highways, freeways, interchanges, toll facilities, and transit related infrastructure.

- A chief executive officer or person in a similar senior-level decision-making position of a major private sector employer with demonstrated experience in leading a large organization.
Section G – Positions Eligible for Reappointment (continued)

The following Independent Taxpayer Oversight Committee positions, shown in BOLD, are eligible for reappointment. Briefly describe the nature of your experience as related to that category, including the number of years of experience you have in that area.

☐ A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.
Section H – About yourself, as related to the job position

Please respond as concisely as possible. Applications will not be judged on length of response.

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?

I have been involved in the transportation community in San Diego my entire career, spanning over 30 years. I am interested in participation to continue the progress the region has made, and believe my experience professionally in this community can provide the expertise beneficial to the ITOC and its goals. I am currently serving on the ITOC and believe I can provide value in serving a third and final term by bringing experience and continuity to the process.

2. What professional skills and abilities would you bring to the committee, and how would they benefit the ITOC?

I bring a 30+ year career of experience in planning, design, and construction of transportation projects in the region, large and small. I have managed successful project delivery of significant regional transportation projects.

I have a good understanding of regional transportation history, challenges, and plans. I am a San Diego native and have spent my entire professional career in the region.

I have significant experience and involvement in the community. I am a past president of the San Diego Highway Development Association; I served 8 years on the I.C.O.C. of the Santee School District for their Prop R bond oversight; I am a member of the San Diego Taxpayer's Association; and I have a strong history of advocacy for regional transportation systems, both in the public and private sectors.

3. What do you hope to accomplish by your participation in the ITOC?

I hope to be able to continue the oversight and understanding of how Transnet is being operated, giving me the ability to be a part of the communication to the public that reinforces the good things happening with their tax dollars. AND in turn give the public confidence so that future funding options are evaluated based upon sound results and experience of the current program.
**Section I – Affiliations**

To the best of your knowledge, have you currently, or have you ever been, affiliated (as a director, officer, partner, trustee, employee, owner, advisor, or consultant) with any institution, organization, or business entity that might present an actual, potential, or apparent conflict of interest should you be appointed? An example would include, but not be limited to, a contract with SANDAG.

☑ Yes (If “Yes”, please explain below) ☐ No

My firm holds contract with SANDAG for design, that have potential for conflict on certain agenda item

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**Section J – Financial holdings and property**

To the best of your knowledge, do you have financial holdings in or receive income from any source or own real property or personal property that might present an actual, potential, or apparent conflict of interest should you be appointed?

☐ Yes (if “Yes”, please explain below) ☑ No
Applicant certifies

All statements in this application are true and correct to the best of my knowledge and belief. I understand that false or misleading answers are cause for rejection of this application.

By submitting my application to SANDAG, I authorize employers, schools, law enforcement agencies, and other individuals and organizations named in this application to provide candid and full information regarding my work record, job performance, volunteer work or service as a public official, character, ability, and fitness to authorized employees of SANDAG. I understand that the information may be positive, negative, confidential, and/or privileged in nature and may be used by SANDAG in any phase of the application process. I release current and previous employers, schools, law enforcement agencies, individuals, organizations, and SANDAG and its employees/representatives from any liability and/or damages that may result from the release, receipt, or use of requested information.

By checking the "I agree" box below, I hereby certify that I have read and understood the instructions, conditions, and other information provided in this document.

I agree  Signature (please type name)  Date

☑  Kai E Ramer  01/31/2019

Please feel free to provide additional information or letters of endorsement.
Thank you for your interest in serving on the SANDAG Independent Taxpayer Oversight Committee.
KAI E. RAMER, PE, TE

Kai E. Ramer is a Principal and Chief Operating Officer of Rick Engineering Company. He supervises and manages design divisions of transportation engineers, traffic engineers, civil engineers, designers and drafters. Work by his Divisions consist of land development planning and design; preliminary and final design of streets, highways, expressways and freeways; storm drains, utilities, traffic control systems, traffic planning and flood control facilities. He has significant experience on various successful public and private site related development, entitlement and infrastructure projects.

In addition to his receiving his degree, Mr. Ramer has completed additional education classes including graduate work in transportation engineering; seminars and courses on contracts and specs for public works projects for local agencies and Caltrans; Federal Highways and Caltrans seminars; training in value engineering (VE) and participation in VE studies.

A few of Mr. Ramer’s representative projects include:

**DEVELOPMENT PROJECTS (ENTITLEMENTS AND DESIGN):**
- Sabre Springs Community – City of San Diego
- Morro Hills Community – City of Oceanside
- Palm Promenade Commercial Center – City of San Diego
- Sharp Hospital – City of San Diego
- Skyline Wesleyan Church – County of San Diego
- Loews Coronado Hotel – City of Coronado
- Olympic Training Center – City of Chula Vista
- Scripps Gateway Commercial Center - City of San Diego
- Estancia Hotel - City of San Diego
- Pala Casino, SR-76 Widening – Caltrans, County of San Diego

**MAJOR ROADWAY PROJECTS:**
- Center City Parkway Intersections – Escondido
- Genesee Avenue Widening – San Diego
- La Jolla Village Drive Widening – San Diego
- Palm Avenue at I-805 – San Diego
- Torrey Pines Road Widening – San Diego
- Scripps Poway Parkway at I-15 – San Diego
- Jamacha Road Widening – San Diego County
- Olympic Parkway / Orange Avenue – Chula Vista
- Telegraph Canyon Road – Chula Vista
- Melrose Drive – Carlsbad
- Black Mountain Road / Park Village – San Diego
- El Camino Real – San Diego
- Vandegrift Boulevard – Oceanside
- West Mission Bay Drive – San Diego
KAI E. RAMER, PE, TE (CONTINUED)

Trafﬁc Engineering/Planning Projects:
- Design of trafﬁc signals throughout the State of California
- Various trafﬁc control plans
- Transportation management plans (TMP)
- Various trafﬁc forecasts / generation studies
- Various trafﬁc impact studies
- Parking lot designs
- Parking studies
- Trafﬁc simulation / presentations
- Bikeway studies and design
- Complete Streets/Active Transportation

Highway, Freeway, and Interchange Projects:
- State Route 163 at Clairemont Mesa Boulevard – San Diego
- I-15 at Friars Road – San Diego
- State Route 94 at Euclid Avenue – San Diego
- I-8 at West Mission Bay Drive – San Diego
- State Route 125 Sweetwater – Spring Valley
- State Route 125 South Toll Road – Otay Mesa
- I-805 at Olympic Parkway – Chula Vista
- I-805 at Palomar Street – Chula Vista
- I-805 at Telegraph Canyon Road – Chula Vista
- State Route 86/111 Realignments – Imperial County
- I-8 at Los Coches Road – San Diego County
- State Route 78 at Jefferson – Oceanside
- I-15 at Scripps Poway Parkway – San Diego
- State Route 94 Highway Widening – San Diego County
- State Route 54 Highway Widening – San Diego County
- State Route 56 at Rancho Peñasquitos Boulevard – San Diego
- State Route 75/Naval Amphibious Base – Coronado
- State Route 163 at Genesee Avenue – San Diego
- I-15 at Aero Drive – San Diego
- Highway 101 at Main Street – San Luis Obispo
- I-15 at Mercy Road – San Diego
- I-15 at Ninth Avenue – Escondido
- I-805 at Mira Mesa Boulevard/Sorrento Valley Road – San Diego

Utility and Drainage Projects:
- Mission Beach Drainage Improvements – San Diego
- Palm / Dennery Road Water Lines – San Diego
- Penasquitos Trunk Sewer Oversight – San Diego
- Rancho San Diego Utility Relocation – San Diego County
- Campo Road Sewer Replacement – San Diego County
Discussion Memo

Background

In accordance with the TransNet Extension Ordinance, an Independent Taxpayer Oversight Committee (ITOC) was established to provide an enhanced level of accountability for expenditures made under the Expenditure Plan. The ITOC functions in an independent, open, and transparent manner to ensure all voter mandates are carried out as required in the Ordinance, and to develop constructive recommendations for improvements to the financial integrity and performance of the TransNet program.

The membership of the ITOC consists of seven voting members, each of whom represents a specified area of expertise. The terms of four of seven ITOC members expire in May 2019. Three incumbents are eligible to be reappointed. Due to term limits, one position will be vacant at the end of the current member’s term.¹

Selection Process

The ITOC selection process consists of two steps pursuant to the TransNet Extension Ordinance. First, a Technical Screening Committee reviews applications and recommends candidates for each position. Second, the Selection Committee is asked to consider applications for each position based on recommendations provided by the Technical Screening Committee. For a member eligible to serve for an additional term, an application for reappointment to continue as a member of the ITOC must be submitted. The TransNet Extension Ordinance states:

1. Technical Screening Committee: A technical screening committee will be established to review applications received from interested individuals. This committee will consist of three members selected by the Executive Director from high-level professional staff of local, regional, state or federal transportation agencies outside of the San Diego region, or from one of the region’s colleges or universities in a transportation-related field, or a combination thereof. The committee will develop a list of candidates determined to be qualified to serve on the ITOC based on the criteria established for the open position(s) on the ITOC. The technical screening committee will recommend two candidates for each open position from the list of qualified candidates for consideration by the Selection Committee. The recommendations shall be made within 30 days of the noticed closing date for applications.

2. Selection Committee: A selection committee shall be established to select the ITOC members from the list of qualified candidates recommended by the technical screening committee. The Selection Committee shall consist of the following:
   - Two members of the County of San Diego Board of Supervisors
   - The Mayor of the City of San Diego
   - A mayor from the cities of Chula Vista, Coronado, Imperial Beach, or National City selected by the mayors of those cities.
   - A mayor from the cities of El Cajon, La Mesa, Lemon Grove, or Santee selected by the mayors of those cities.

¹ The TransNet Extension Ordinance states that “ITOC members shall serve no more than eight years unless the member’s first term was less than four years, in which case the member may serve an additional two terms after the partial term. In no case, however, shall any member serve more than ten years on the ITOC.” ITOC members Dustin Fuller, Stewart Halpern, and Kai Ramer were initially appointed to fill mid-term vacancies and reappointed for a second term starting in June 2015. ITOC member Jonathan Tibbitts was initially appointed to a four-year term starting in June 2011 and a second term starting June 2015 and is therefore not eligible to serve another term.
- A mayor from the cities of Carlsbad, Del Mar, Encinitas, Oceanside, or Solana Beach selected by the mayors of those cities.
- A mayor from the cities of Escondido, Poway, San Marcos, or Vista selected by the mayors of those cities.

The selection of ITOC members shall be made within 30 days of the receipt of recommendations from the technical screening committee. All meetings of the Selection Committee shall be publicly noticed and conducted in full compliance with the requirements of the Brown Act. Should the Selection Committee be unable to reach agreement on a candidate from the qualified candidates recommended by the technical screening committee, the Selection Committee shall request the technical screening committee to recommend two additional qualified candidates for consideration.

Technical Screening Recommendations

Requests for Appointment

Two applications were received for the following publicly noticed ITOC position:

- A licensed architect, civil engineer, or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.

Consistent with the TransNet Extension Ordinance, the Technical Screening Committee reviewed both candidate applications received and recommends both candidates be advanced for consideration by the Selection Committee based on their qualifications.

- Neal Woodson Clements – Technical Screening Committee members considered Mr. Clements’ experience in civil engineering and work in the transportation field in both the public and private sectors. The Committee also considered Mr. Clements’ volunteer experience.

- Michael Kenney – Technical Screening Committee members considered Mr. Kenney’s traffic engineering experience in the public and private sectors. The Committee also noted Mr. Kenney previously served on the ITOC in FY 2011-2012 for about one year.

Requests for Reappointment

Three eligible incumbents applied for reappointment to continue as a member of the ITOC for the following positions:

- Dustin Fuller – A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.

- Stewart Halpern – A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector.

- Kai Ramer – A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years’ experience in a relevant and senior decision-making position in the government or private sector.

Consistent with the TransNet Extension Ordinance, the Technical Screening Committee reviewed reappointment applications received and recommends the reappointment of Mr. Fuller, Mr. Halpern, and Mr. Ramer for consideration by the Selection Committee.

The Technical Screening Committee’s recommendation memo, along with the applications for the recommended candidates, are included as Attachment 1.
**Conflicts of Interest Review**

The SANDAG Office of General Counsel (OGC) performed a conflict of interest check for members seeking appointment and reappointment to review affiliations with business entities that may present potential conflicts of interest. Based on SANDAG Board Policy No. 031, as well as guidance from the OGC, the possibility of a potential conflict of interest does not automatically require disqualification of an applicant.

The SANDAG OGC conducted a conflicts of interest review based on both the ITOC application and required statement of economic interests provided by each applicant. While the OGC determined potential conflicts exist for Mr. Kenney and Mr. Ramer based on their current employment status, neither would be precluded from serving as an ITOC member. The OGC determined no potential conflicts exist for Mr. Clements, Mr. Fuller, and Mr. Halpern.

Should the Selection Committee not approve the requested appointments, the ITOC positions will be considered vacant at the end of the current term. The selection process for a new member would be initiated, including requesting applications through an open, publicly noticed solicitation process. A Technical Screening Committee would be established to review applications received from interested individuals and recommend qualified candidates for consideration by the Selection Committee.

**ITOC Term Limits**

In 2017, the Board amended the TransNet Extension Ordinance to further stagger ITOC terms to prevent turnover of more than two members at any one time and to allow members filling mid-term vacancies to serve additional terms (not to exceed a total of ten years). In addition, the TransNet Extension Ordinance amendment acknowledged that if more than two members need to be replaced during the same recruitment period, the Selection Committee would determine the length of the members’ first term to limit concurrent future turnover.

The ITOC members requesting reappointment were originally appointed to fill mid-term vacancies and subsequently reappointed as shown below. The maximum date through which each member can serve without exceeding the ten-year limitation also is shown. In addition, the proposed term end date is noted. The new member that will ultimately be selected to fill the current vacancy on the ITOC is proposed to serve a term of three years through May 2022.

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2 In accordance with the TransNet Extension Ordinance, ITOC members are to serve a term of four years, except that appointments may be less than four years to ensure the terms of the ITOC members are sufficiently staggered. The TransNet Extension Ordinance also states that ITOC members shall serve no more than eight years unless the member’s first term was less than four years, in which case the member may serve an additional two terms after the partial term. In no case, however, shall any member serve more than ten years on the ITOC.
Table 1. Proposed Term Limits for ITOC Members

<table>
<thead>
<tr>
<th>ITOC Member Requesting Reappointment</th>
<th>Kai Ramer</th>
<th>New Appointee</th>
<th>Dustin Fuller</th>
<th>Stewart Halpern</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Term Start</td>
<td>July 2012</td>
<td>-</td>
<td>November 2014</td>
<td>December 2013</td>
</tr>
<tr>
<td>Third Term Start</td>
<td>June 2019</td>
<td>-</td>
<td>June 2019</td>
<td>June 2019</td>
</tr>
<tr>
<td>Maximum Date through which Member is Eligible to Serve</td>
<td>May 2022</td>
<td>-</td>
<td>May 2023</td>
<td>May 2023</td>
</tr>
<tr>
<td>Proposed Term End Date</td>
<td>May 2020*</td>
<td>May 2022</td>
<td>May 2023</td>
<td>May 2023</td>
</tr>
</tbody>
</table>

*Mr. Kai Ramer has requested a term of not-to-exceed one year due to other commitments.

Based on the proposed term end dates shown above, term limits for all seven ITOC members would be as follows and would ensure term expirations do not result in more than two vacancies at any one time. Alternatively, the Selection Committee could elect to stagger terms differently than proposed below for the current vacancy and members seeking reappointment as shown in grey cells.

Table 2. Term Limits for All ITOC Members

<table>
<thead>
<tr>
<th>Terms expiring May 2020</th>
<th>Terms expiring May 2021</th>
<th>Term expiring May 2022</th>
<th>Term expiring May 2023</th>
</tr>
</thead>
<tbody>
<tr>
<td>A professional with experience in real estate, land economics, and/or right-of-way acquisition (Gregg Sadowsky)</td>
<td>A professional with experience in the management of large-scale construction projects (Brad Barnum)</td>
<td>A licensed architect, civil engineer, or traffic engineer (New Appointee)</td>
<td>A professional in the field of municipal/public finance and/or budgeting (Stewart Halpern)</td>
</tr>
<tr>
<td>A licensed engineer with appropriate credentials in the field of transportation project design or construction (Kai Ramer)</td>
<td>A chief executive officer or person in a similar senior-level, decision-making position of a major private sector employer (Dick Vortmann)</td>
<td></td>
<td>A professional in biology or environmental science (Dustin Fuller)</td>
</tr>
</tbody>
</table>

*Shaded cells indicate positions subject to consideration by the Selection Committee during current solicitation period.*