

INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE

September 12, 2018

AGENDA ITEM NO.: **1**

Action Requested: APPROVE

JULY 11, 2018, MEETING MINUTES

File Number 1500200

Chair Dustin Fuller (Biology/Environmental) called the meeting of the *TransNet* Independent Taxpayer Oversight Committee (ITOC) to order at 9:39 a.m.

1. MEETING MINUTES (APPROVE)

Action: Upon a motion by Vice Chair Dick Vortmann (Private Sector) and a second by Mr. Kai Ramer (Licensed Engineer), the ITOC approved the June 13, 2018, meeting minutes. Yes: Chair Fuller, Vice Chair Vortmann, Mr. Ramer, and Mr. Jonathan Tibbitts (Civil/Traffic Engineering). No: None. Abstain: None. Absent: Finance/Budgeting and Contractor/Construction.

2. PUBLIC COMMENTS/COMMUNICATIONS/MEMBER COMMENTS (INFORMATION)

There were no public or member comments.

CONSENT

3. *TransNet* SENIOR MINI-GRANT PROGRAM STATUS UPDATE (INFORMATION)

This report provided an update on the progress made by each grant recipient for the *TransNet* Senior Mini-Grant Program.

Action: Consent Item No. 3 was presented for information.

REPORTS

4. ANNUAL SELECTION OF INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE CHAIR AND VICE CHAIR (APPROVE)

Ariana zur Nieden, Senior *TransNet* Program Manager, presented the item.

In accordance with ITOC Bylaws, at the first regular meeting following commencement of the SANDAG fiscal year, the ITOC shall select a Chair and Vice Chair to serve a term of one year. The ITOC was asked to select a Chair and Vice Chair for FY 2019.

Action: Upon a motion by Mr. Stewart Halpern (Finance/Budgeting) and a second by Mr. Tibbitts, the ITOC selected Chair Fuller and Vice Chair Vortmann to continue as the ITOC Chair and Vice Chair. Yes: Chair Fuller, Vice Chair Vortmann, Mr. Ramer, Mr. Halpern, and Mr. Tibbitts. No: None. Abstain: None. Absent: Contractor/Construction.

5. SUMMARY OF TRANSPORTATION COMMITTEE AND BOARD OF DIRECTORS ACTIONS ON *TransNet*-RELATED AGENDA ITEMS (INFORMATION)

Ms. zur Nieden provided a summary of Transportation Committee and Board of Directors actions on agenda items that the ITOC has reviewed to keep the ITOC informed about relevant SANDAG actions taken on *TransNet*-related projects and programs: FY 2019 Program Budget Amendments: State Grant Awards; FY 2017 Public Transit Report to the California Legislature; SANDAG Audit Policy Advisory Committee Membership; SANDAG Independent Performance Auditor Recruitment; Funding Recommendations for Smart Growth Incentive Program, Active Transportation Grant Program, and Environmental Mitigation Program Land Management Grant Program; SANDAG Executive Director Recruitment; and ITOC Vacancy.

Action: This item was presented for information.

6. FY 2018 PROPOSED *TransNet* AGREED-UPON PROCEDURES (APPROVE)

Tritia Foster, Davis Farr LLP, and Lisa Kondrat-Dauphin, Senior Accountant, presented the item.

Action: Upon a motion by Vice Chair Vortmann and a second by Mr. Halpern, the ITOC approved the proposed Agreed Upon Procedures developed by the independent audit firm of Davis Farr LLP for the annual FY 2018 *TransNet* Fiscal and Compliance Audit. Yes: Chair Fuller, Vice Chair Vortmann, Mr. Ramer, Mr. Halpern, and Mr. Tibbitts. No: None. Abstain: None. Absent: Contractor/Construction.

7. 2018 INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE ANNUAL REPORT (APPROVE)

Ms. zur Nieden presented the item.

Action: Upon a motion by Mr. Halpern and a second by Mr. Tibbitts, the ITOC approved the Final 2018 ITOC Annual Report for presentation to the Board of Directors at its July 27, 2018, meeting. Yes: Chair Fuller, Vice Chair Vortmann, Mr. Ramer, Mr. Halpern, and Mr. Tibbitts. No: None. Abstain: None. Absent: Contractor/Construction.

8. *TransNet* TRIENNIAL PERFORMANCE AUDIT REPORT

8A. FY 2018 *TransNet* TRIENNIAL PERFORMANCE AUDIT REPORT AND RESPONSES TO RECOMMENDATIONS (ACCEPT)

Lien Luu and Cathy Brady, Sjoberg Evashenk Consulting, Inc., presented the item.

Action: Upon a motion by Vice Chair Vortmann and a second by Mr. Halpern, the ITOC accepted the Final FY 2018 *TransNet* Triennial Performance Audit report and recommendations, including responses to recommendations, for final presentation to the Board of Directors as required by the *TransNet* Extension Ordinance. Yes: Chair Fuller, Vice Chair Vortmann, Mr. Ramer, Mr. Halpern, and Mr. Tibbitts. No: None. Abstain: None. Absent: Contractor/Construction.

8B. FY 2015 AND FY 2018 *TransNet* TRIENNIAL PERFORMANCE AUDITS: UPDATE ON IMPLEMENTATION OF RECOMMENDATIONS (DISCUSSION/POSSIBLE ACTION)

Ms. zur Nieden presented the item.

The ITOC reviewed the updated implementation status of audit recommendations for the FY 2015 *TransNet* Triennial Performance Audit (third audit) and FY 2018 *TransNet* Triennial Performance Audit (fourth audit), and directed staff to add assignment of an ITOC member for either each chapter or specific recommendations for the FY 2018 audit recommendations to be presented with the next update on audit recommendations.

Action: This item was presented for discussion.

9. SPECIALIZED TRANSPORTATION GRANT PROGRAM: CALL FOR PROJECTS EVALUATION CRITERIA (DISCUSSION)

Audrey Porcella, Regional Planner II, presented the item.

The ITOC reviewed and discussed proposed revisions to the evaluation criteria for the Specialized Transportation Grant Program, which includes the distribution of *TransNet* Senior Mini-Grant Program funds, for consistency with *TransNet* Extension Ordinance provisions.

Mr. Halpern clarified prior ITOC comments regarding eligibility criteria and maximum grant requests. Staff responded this comment will be addressed within the context of the upcoming Specialized Transportation Strategy that will inform future competitive processes.

Action: This item was presented for discussion.

10. DRAFT 2018 REGIONAL TRANSPORTATION IMPROVEMENT PROGRAM: INCLUDING THE DRAFT AIR QUALITY CONFORMITY DETERMINATION (DISCUSSION)

Sue Alpert, Associate Project Control Analyst, presented the item.

The ITOC provided feedback on the *TransNet* Program of Projects included in the draft 2018 Regional Transportation Improvement Program, a multi-year program of proposed major transportation projects in the San Diego region.

Mr. Tibbitts requested that SANDAG staff follow up with the City of Encinitas to request modification to the project description for ENC20 to include reference to the removal of lanes.

Action: This item was presented for discussion.

11. 2016 REGIONAL TRANSPORTATION IMPROVEMENT PROGRAM: AMENDMENT NO. 14 (DISCUSSION)

Ms. Alpert presented the item.

The ITOC provided feedback on Amendment No. 14 to the 2016 Regional Transportation Improvement Program, focusing on the *TransNet* Program of Projects.

Action: This item was presented for discussion.

12. FUTURE MEETING SCHEDULE

The next ITOC meeting is scheduled for Wednesday, September 12, 2018, at 9:30 a.m.

13. ADJOURNMENT

Chair Fuller adjourned the meeting at 11:18 a.m.

DRAFT

**INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE
 MEETING ATTENDANCE FOR JULY 11, 2018**

JURISDICTION/ORGANIZATION	NAME	ATTENDING	COMMENTS
Finance/Budgeting	Stewart Halpern	Yes	
Biology/Environmental	Dustin Fuller	Yes	Chair
Contractor/Construction	Brad Barnum	No	
Right of Way Acquisition	VACANT	--	
Licensed Engineer	Kai Ramer	Yes	
Licensed Civil/Traffic Engineer	Jonathan Tibbitts	Yes	
Private Sector	Dick Vortmann	Yes	Vice Chair
ADVISORY MEMBERS LISTED BELOW (ATTENDANCE NOT COUNTED FOR QUORUM PURPOSES)			
San Diego County Auditor's Office	Tracy Drager (1st Alt.)	Yes	
SANDAG STAFF MEMBERS LISTED BELOW			
Kim Kawada, Chief Deputy Executive Director José Nuncio, <i>TransNet</i> Department Director Ariana zur Nieden, Senior <i>TransNet</i> Program Manager			