MEETING NOTICE
AND AGENDA

SELECTION COMMITTEE FOR THE
TransNet INDEPENDENT TAXPAYER
OVERSIGHT COMMITTEE
The Selection Committee may take action on any item appearing on this agenda.

Friday, May 24, 2013
8:15 a.m. (immediately before the Board Meeting)

SANDAG, Conference Room 8A
401 B Street, Suite 800
San Diego, CA 92101-4231

Staff Contact: Kim Kawada
(619) 699-6994
kim.kawada@sandag.org

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This agenda and related staff reports can be accessed at www.sandag.org under Meetings on SANDAG’s website. Public comments regarding the agenda can be forwarded to SANDAG via the e-mail comment form also available on the website. E-mail comments should be received no later than noon, two working days prior to the Selection Committee meeting. Any handouts, presentations, or other materials from the public intended for distribution at the Selection Committee meeting should be received by the Clerk of the Committee no later than 12 noon, two working days prior to the meeting.

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# SELECTION COMMITTEE FOR THE TransNet INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE

Friday, May 24, 2013

<table>
<thead>
<tr>
<th>ITEM #</th>
<th>RECOMMENDATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>1. SELECTION OF A CHAIR</td>
</tr>
<tr>
<td></td>
<td>The Selection Committee should select a chairperson for purposes of conducting the meeting.</td>
</tr>
<tr>
<td>2.</td>
<td>2. SELECTION OF MEMBERS FOR THE TransNet INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE</td>
</tr>
<tr>
<td></td>
<td>The membership of the ITOC consists of seven voting members, each of whom represents a specified area of expertise and serves a four-year term. SANDAG staff will summarize the roles of the Technical Screening and Selection Committees in the selection process for the ITOC members. Pursuant to the TransNet Extension Ordinance, the Selection Committee is asked to consider the candidates recommended by the Technical Screening Committee and approve the selection of an ITOC member for the three ITOC positions expiring in May 2013.</td>
</tr>
<tr>
<td>3.</td>
<td>3. PUBLIC COMMENTS/COMMUNICATIONS/MEMBER COMMENTS</td>
</tr>
<tr>
<td></td>
<td>Members of the public will have the opportunity to address the Selection Committee on any issue within the jurisdiction of the Committee. Public speakers are limited to three minutes or less per person. Committee members also may provide information and announcements under this agenda item.</td>
</tr>
<tr>
<td>4.</td>
<td>4. ADJOURNMENT</td>
</tr>
</tbody>
</table>

+ next to an item indicates an attachment
SELECTION COMMITTEE FOR THE TransNet INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE

May 24, 2013

AGENDA ITEM NO.: 2

Action Requested: APPROVE

SELECTION OF MEMBERS FOR THE TransNet INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE File Number 1500100

Introduction

In accordance with the TransNet Extension Ordinance, an Independent Taxpayer Oversight Committee (ITOC) was established to provide an enhanced level of accountability for expenditures made under the Expenditure Plan. The membership of the ITOC consists of seven voting members, each of whom represents a specified area of expertise and serves a four-year term (Attachment 1). The terms for three of the seven ITOC positions expire in May 2013.

The ITOC selection process consists of two steps:

1. Review by a Technical Screening Committee, which is responsible for reviewing applications received from interested individuals and recommending two candidates for each open position; and

2. Approval by a Selection Committee that is responsible for selecting the ITOC members from the list of qualified candidates recommended by the Technical Screening Committee.

Requested Action

Pursuant to the TransNet Extension Ordinance, the Selection Committee is asked to consider the candidates and approve the selection of an ITOC member for each of the following positions:

- A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition
- A professional with demonstrated experience of ten years or more in the management of large-scale construction projects
- A chief executive officer or person in a similar senior-level decision making position of a major private sector employer with demonstrated experience in leading a large organization

Discussion

Attachment 2 is an excerpt from the TransNet Extension Ordinance and Expenditure Plan, which includes the description of the roles and responsibilities of the ITOC, as well as the roles of the Technical Screening and Selection Committees in the selection process for the ITOC members.
Technical Screening Committee Recommendations

The Technical Screening Committee met via telephone conference call on May 7, 2013, and the Committee’s recommendations for each position along with the candidate applications are included as Attachment 3. In its review, the Screening Committee considered factors such as the applicant’s quality of the responses to the questions in the application, the depth of experience of each applicant in the specified category, the level of demonstrated understanding of the role of ITOC, and the type of skills that the applicant would bring to ITOC. The Screening Committee also believed that having continuity of active and engaged members on ITOC was an important consideration.

The Technical Screening Committee recommends the following candidates:

- A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition
  - Robert Hixson
  - Carolyn Lee (current ITOC member)
- A professional with demonstrated experience of ten years or more in the management of large-scale construction projects
  - Brad Barnum
  - Sandor Shapery
- A chief executive officer or person in a similar senior-level decision making position of a major private sector employer with demonstrated experience in leading a large organization
  - Harold DeNardi
  - Richard Vortmann (current ITOC member)

Selection Committee

The recommended candidates have been notified of the May 24, 2013, Selection Committee meeting and may be present at the meeting. The Selection Committee’s role is to approve the final selection of an ITOC member for each of the three positions.

Next Steps

Following the Selection Committee’s approval of an ITOC member for each of the three positions, all candidates would be notified of the final selection results. Successful candidates would attend their first ITOC meeting on June 12, 2013.

Attachments:
1. Current ITOC Members
2. Excerpts from TransNet Extension Ordinance and Expenditure Plan
3. Memorandum dated May 8, 2013, from the Technical Screening Committee to the Selection Committee with Recommendation of ITOC Candidates

Key Staff Contact: Kim Kawada, (619) 699-6994, kim.kawada@sandag.org
Current Membership on the TransNet Independent Taxpayer Oversight Committee (ITOC)

Terms Expiring May 2015

- **Paul Fromer, ITOC Chair** (Term expires May 2015): Paul is serving in the ITOC position for a professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management. He is vice president and team leader for RECON Environmental, Inc. and has over 30 years of environmental review and planning process experience working with public agencies on development and implementation of regional conservation plans for endangered species. Paul is a Rancho Peñasquitos resident working in downtown San Diego.

- **Jonathan Tibbitts, ITOC Vice Chair** (Term expires May 2015): Jonathan is serving in the ITOC position for a licensed architect or civil/traffic engineer with experience in transportation and/or urban design. He is a registered civil engineer (retired) with over 20 years of experience, including construction management and service as Commander with the U.S. Navy Civil Engineer Corps. Jonathan lives in the Kensington area of San Diego.

- **John Chalker** (Term expires May 2015): John is serving in the ITOC position for a professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision-making position in the public or private sector. He is managing director for LM Capital Group, LLC. and has over 30 years of experience in the financial services industry including over 15 years involvement with various infrastructure issues through his service on numerous committees and as a member of the Board of Directors for the San Diego Regional Chamber of Commerce. He also was appointed as Commissioner for the California Transportation Commission by Governor Arnold Schwarzenegger from 2005 to 2011. He currently serves as a member of the California High-Speed Rail Peer Review Group. John is a Point Loma resident working in downtown San Diego.

- **Kai Ramer** (Term expires May 2015): Kai is serving in the ITOC position for a licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years of experience in a relevant and senior decision making position in the government or private sector. He is a principal for Rick Engineering Company and has over 25 years of experience in the field of transportation engineering. He has served on the Santee School District Independent Citizens’ Oversight Committee and as past president of the San Diego Highway Development Association. He is currently a member of the San Diego County Taxpayers Association. Kai is a Santee resident working in Mission Valley.

Terms Expiring May 2013

- **Carolyn Lee** (Term expires May 2013): Carolyn is serving in the ITOC position for a professional with experience in real estate, land economics, and/or right-of-way acquisition. Carolyn draws upon her experience in supervising capital and support budgets with multiple funding sources in fulfilling her oversight role on the committee. She lives in the Tierrasanta area of San Diego.

- **Jim Ryan** (Term expires May 2013): Jim is serving in the ITOC position for a professional with experience in the management of large-scale construction projects. He has over 30 years of experience in various aspects of the construction industry, including labor relations, safety, and service procurement. Jim has served as Executive Vice President of Associated General Contractors in San Diego for the past thirteen years. He is a Poway resident working in Sorrento Valley.

- **Richard Vortmann** (Term expires May 2013): Richard is serving in the ITOC position for a chief executive officer or person in a similar senior-level decision-making position, of a major private sector employer with demonstrated experience in leading a large organization. He has over 30 years of experience in the fields of financial management and strategic planning, including over 22 years as President of National Steel and Shipbuilding Company (NASSCO) and six years as Vice President of General Dynamics Corporation. He has served as a member of numerous boards including the San Diego Regional Economic Development Corporation and Scripps Health, among others. Most recently, he also served as Interim President and Chief Executive Officer of the San Diego Regional Chamber of Commerce. Richard lives in the La Jolla area of San Diego.
STATEMENT OF UNDERSTANDING REGARDING THE IMPLEMENTATION OF THE INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE FOR THE TransNet PROGRAM

Purpose of the ITOC

The Independent Taxpayer Oversight Committee (ITOC) is intended to provide an increased level of accountability for expenditures made under the TransNet Extension, in addition to the independent annual fiscal and compliance audits required under the existing TransNet program. The ITOC should function in an independent, open and transparent manner to ensure that all voter mandates are carried out as required in the Ordinance and Expenditure Plan, and to develop positive, constructive recommendations for improvements and enhancements to the financial integrity and performance of the TransNet program.

Intent of the ITOC as a Functional Partner to SANDAG

The TransNet Ordinance contains a summary of the ITOC's role and responsibilities consistent with the above Purpose. In this document, additional and supplementary details with regard to the ITOC are delineated. These pertain to the process for selecting members of ITOC, terms and conditions governing membership, responsibilities, funding and administration, and conflict of interest provisions.

It is noteworthy that these details have been developed in a cooperative process between SANDAG and representatives of the San Diego County Taxpayers Association, and with the involvement of other transportation professionals within the region. This document is understood to provide the basis for describing how the ITOC will function once the Ordinance is approved.

In addition to the details outlined in this document the intent that provides the foundation for the desired partnership between ITOC and SANDAG, as viewed by the principal authors, is summarized as follows:

- Resource—it is the intent that the ITOC will serve as an independent resource to assist in SANDAG's implementation of TransNet projects and programs. The Committee's membership is designed to provide to SANDAG a group of professionals who, collectively, can offer SANDAG the benefit of their experience to advance the timely and efficient implementation of TransNet projects and programs. The ITOC will work in a public way to ensure all deliberations are conducted in an open manner. Regular reports from the ITOC to the SANDAG Board of Directors (or policy committees) are expected with regard to program and project delivery, and overall performance.

- Productive—it is the intent that the ITOC will rely upon data and processes available at SANDAG, studies initiated by the ITOC, and other relevant data generated by reputable sources. It is understood, however, that SANDAG will be continuously striving to improve the reliability of data and to update analytical and modeling processes to be consistent with the state-of-the-art, and that the ITOC will be kept abreast of any such efforts, and invited to participate in development of such updates in a review capacity.
Cost-efficient—it is the intent that the ITOC will not add cost burden to SANDAG’s implementation of the TransNet program and projects. Rather, through a cooperative and productive working relationship between ITOC and the SANDAG implementation team, it is the objective that costs will be saved.

Flexible—it is the intent that the ITOC will assist SANDAG to be opportunistic to take advantage of changing situations in the future with regard to technologies and transportation developments. Therefore, the provisions contained below are viewed through 2048 based upon a 2004 perspective and are not meant to be unduly restrictive on ITOC’s and SANDAG’s roles and responsibilities.

Membership and Selection Process

1. **Membership:** There shall be seven ITOC voting members with the characteristics described below. The intent is to have one member representing each of the specified areas of expertise. If, however, after a good faith effort, qualified individuals have not been identified for one or more of the areas of expertise, then no more than two members from one or more of the remaining areas of expertise may be selected. For each of the areas of expertise listed below, an individual representing one of the region’s colleges or universities with a comparable level of academic experience also would be eligible for consideration.

   - A professional in the field of municipal/public finance and/or budgeting with a minimum of ten years in a relevant and senior decision making position in the public or private sector.
   - A licensed architect, civil engineer or traffic engineer with demonstrated experience of ten years or more in the fields of transportation and/or urban design in government or the private sector.
   - A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition.
   - A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.
   - A licensed engineer with appropriate credentials in the field of transportation project design or construction and a minimum of ten years experience in a relevant and senior decision making position in the government or private sector.
   - The chief executive officer or person in a similar senior-level decision making position, of a major private sector employer with demonstrated experience in leading a large organization.
   - A professional in biology or environmental science with demonstrated experience of ten years or more with environmental regulations and major project mitigation requirements and/or habitat acquisition and management.

   **Ex-Officio Members:** SANDAG Executive Director and the San Diego County Auditor

The criteria established for the voting members of the ITOC are intended to provide the skills and experience needed for the ITOC to carry out its responsibilities and to play a valuable and constructive role in the ongoing improvement and enhancement of the TransNet program.
Applications will be requested from individuals interested in serving on the ITOC through an open, publicly noticed solicitation process.

2. Technical Screening Committee: A technical screening committee will be established to review applications received from interested individuals. This committee will consist of three members selected by the SANDAG Executive Director from high-level professional staff of local, regional, state, or federal transportation agencies outside of the San Diego region, or from one of the region’s colleges or universities in a transportation-related field, or a combination thereof. The committee will develop a list of candidates determined to be qualified to serve on the ITOC based on the criteria established for the open position(s) on the ITOC. The technical screening committee will recommend two candidates for each open position from the list of qualified candidates for consideration by the Selection Committee. The recommendations shall be made within 30 days of the noticed closing date for applications.

3. Selection Committee: A selection committee shall be established to select the ITOC members from the list of qualified candidates recommended by the technical screening committee. The selection committee shall consist of the following:

- Two members of the County of San Diego Board of Supervisors
- The Mayor of the City of San Diego
- A mayor from the Cities of Chula Vista, Coronado, Imperial Beach, or National City selected by the mayors of those cities.
- A mayor from the Cities of El Cajon, La Mesa, Lemon Grove, or Santee selected by the mayors of those cities.
- A mayor from the Cities of Carlsbad, Del Mar, Encinitas, Oceanside, or Solana Beach selected by the mayors of those cities.
- A mayor from the Cities of Escondido, Poway, San Marcos, or Vista selected by the mayors of those cities.

The selection of ITOC members shall be made within 30 days of the receipt of recommendations from the technical screening committee. All meetings of the selection committee shall be publicly noticed and conducted in full compliance with the requirements of the Brown Act. Should the selection committee be unable to reach agreement on a candidate from the qualified candidates recommended by the technical screening committee, the selection committee shall request the technical screening committee to recommend two additional qualified candidates for consideration.

Terms and Conditions for ITOC members

- ITOC members shall serve a term of four years, except that initial appointments may be staggered with terms of two to four years.
- ITOC members shall serve without compensation except for direct expenses related to the work of the ITOC.
- In no case shall any member serve more than eight years on the ITOC.
If and when vacancies in the membership of the ITOC occur, the same selection process as outlined above shall be followed to select a replacement to fill the remainder of the term. At the completion of a term, eligible incumbent members will need to apply for reappointment for another term.

Term limits for ITOC members should be staggered to prevent significant turnover at any one time. The initial appointment process should be based on this staggered term limit concept.

**ITOC Responsibilities**

The ITOC shall have the following responsibilities:

1. Conduct an annual fiscal and compliance audit of all TransNet-funded activities using the services of an independent fiscal auditor to assure compliance with the voter-approved Ordinance and Expenditure Plan. This annual audit will cover all recipients of TransNet funds during the fiscal year and will evaluate compliance with the maintenance of effort requirement and any other applicable requirements. The audits will identify expenditures made for each project in the prior fiscal year and will include the accumulated expenses and revenues for ongoing, multi-year projects.

2. Prepare an annual report to the SANDAG Board of Directors presenting the results of the annual audit process. The report should include an assessment of the consistency of the expenditures of TransNet funds with the Ordinance and Expenditure Plan and any recommendations for improving the financial operation and integrity of the program for consideration by the SANDAG Board of Directors. This consistency evaluation will include a review of expenditures by project type for each local jurisdiction. The ITOC shall share the initial findings of the independent fiscal audits and its recommendations with the SANDAG Transportation Committee 60 days prior to their release to resolve inconsistencies and technical issues related to the ITOC's draft report and recommendations. Once this review has taken place, the ITOC shall make any final amendments it deems appropriate to its report and recommendations, and adopt its report for submission directly to the SANDAG Board of Directors and the public. The ITOC shall strive to be as objective and accurate as possible in whatever final report it adopts. Upon completion by the ITOC, the report shall be presented to the SANDAG Board of Directors at its next regular meeting and shall be made available to the public.

3. Conduct triennial performance audits of SANDAG and other agencies involved in the implementation of TransNet-funded projects and programs to review project delivery, cost control, schedule adherence and related activities. The review should include consideration of changes to contracting, construction, permitting and related processes that could improve the efficiency and effectiveness of the expenditure of TransNet revenues. These performance audits shall be conducted using the services of an independent performance auditor and should include a review of the ITOC's performance. A draft of the ITOC's report and recommendations regarding the performance audits shall be made available to the SANDAG Transportation Committee at least 60 days before its final adoption by the ITOC to resolve inconsistencies and technical issues related to the ITOC's draft report and recommendations. Once this review has taken place, the ITOC shall make any final amendments it deems appropriate to its report and related recommendations, and adopt its report for presentation directly to the SANDAG Board of Directors and the public. The ITOC shall strive to be as
objective and constructive as possible in the text and presentation of the performance audits. Upon completion by the ITOC, the report shall be presented to the SANDAG Board of Directors at its next regular meeting and shall be made available to the public.

4. Provide recommendations to the SANDAG Board of Directors regarding any proposed amendments to the Ordinance and Expenditure Plan.

5. Provide recommendations as part of the 10-year review process. This process provides an opportunity to undertake a comprehensive review of the TransNet program every 10 years and to make recommendations for improving the program over the subsequent 10 years. This review process should take into consideration the results of the TransNet-funded improvements as compared to the performance standards established through the Regional Transportation Plan and the Regional Comprehensive Plan.

6. Participate in the ongoing refinement of SANDAG’s transportation system performance measurement process and the project evaluation criteria used in development of the Regional Transportation Plan (RTP) and in prioritizing projects for funding in the Regional Transportation Improvement Program. The focus of this effort will be on TransNet-funded projects. Based on the periodic updates to the RTP, as required by state and federal law, the oversight committee shall develop a report to the SANDAG Transportation Committee, the SANDAG Board of Directors and the public providing recommendations for possible improvements and modifications to the TransNet program.

7. On an annual basis, review ongoing SANDAG system performance evaluations, including SANDAG’s “State of the Commute” report, and provide an independent analysis of information included in that report. This evaluation process is expected to include such factors as level of service measurements by roadway segment and by time of day, throughput in major travel corridors, and travel time comparisons by mode between major trip origins and destinations. Such information will be used as a tool in the RTP development process.

8. Review and comment on the programming of TransNet revenues in the Regional Transportation Improvement Program (RTIP). This provides an opportunity for the ITOC to raise concerns regarding the eligibility of projects proposed for funding before any expenditures are made. In addition to a general eligibility review, this effort should focus on significant cost increases and/or scope changes on the major corridor projects identified in the Ordinance and Expenditure Plan.

9. Review proposed debt financings to ensure that the benefits of the proposed financing for accelerating project delivery, avoiding future cost escalation, and related factors exceed issuance and interest costs.

10. Review the major Congestion Relief projects identified in the Ordinance for performance in terms of cost control and schedule adherence on a quarterly basis.

In carrying out its responsibilities, the ITOC shall conduct its reviews in such a manner that does not cause unnecessary project delays, while providing sufficient time to ensure that adequate analysis can be completed to allow the ITOC to make objective recommendations and to provide the public with information about the implementation of the TransNet program.
ITOC Funding and Administration

1. All costs incurred in administering the activities of the ITOC, including related fiscal and performance audit costs, shall be paid annually from the proceeds of the TransNet sales tax. The funds made available to the ITOC shall not exceed $250,000 annually, as adjusted for inflation annually for the duration of the program. Any funds not utilized in one fiscal year shall remain available for expenditure in subsequent years as part of the annual budget process.

2. The expenditures of the ITOC shall be audited annually as part of the same fiscal audit process used for all other TransNet-funded activities.

3. The process for selecting the initial ITOC members shall be started no later than April 1 of the year following the passage of the Ordinance by the voters. Because the funding for this activity would not be available until Fiscal Year 2008-09, the ITOC activities during the initial transition period will be phased in to the extent possible within the budget constraints of the one percent administrative cap under the current TransNet Ordinance. Given the forty-year duration of the TransNet tax extension, the ITOC shall continue as long as funds from the current authorization remain available.

4. An annual ITOC operating budget shall be prepared and submitted to the SANDAG Board of Directors for its approval 90 days prior to the beginning of each fiscal year.

5. All ITOC meetings shall be public meetings conducted in full compliance with the Brown Act. The ITOC will meet on a regular basis, at least quarterly, to carry out its roles and responsibilities.

6. SANDAG Directors and staff will fully cooperate with and provide necessary support to the ITOC to ensure that it successfully carries out its duties and obligations, but should limit involvement to the provision of information required by the ITOC to ensure the independence of the ITOC as it carries out its review of the TransNet program and develops its recommendations for improvements.

7. ITOC members and their designated auditors shall have full and timely access to all public documents, records and data with respect to all TransNet funds and expenditures.

8. All consultants hired by the ITOC shall be selected on an open and competitive basis with solicitation of proposals from the widest possible number of qualified firms as prescribed by SANDAG's procedures for procurement. The scope of work of all such consultant work shall be adopted by the ITOC prior to any such solicitation.

9. SANDAG shall provide meeting space, supplies and incidental materials adequate for the ITOC to carry out its responsibilities and conduct its affairs. Such administrative support shall not be charged against the funds set aside for the administration of the ITOC provided under No. 1 above.
Conflict of Interest

The ITOC shall be subject to SANDAG’s conflict of interest policies. ITOC members shall have no legal action pending against SANDAG and are prohibited from acting in any commercial activity directly or indirectly involving SANDAG, such as being a consultant to SANDAG or to any party with pending legal actions against SANDAG during their tenure on the ITOC. ITOC members shall not have direct commercial interest or employment with any public or private entity, which receives TransNet sales tax funds authorized by this Ordinance.
May 8, 2013

TO: Selection Committee for the TransNet Independent Taxpayer Oversight Committee (ITOC)

FROM: Technical Screening Committee for ITOC

SUBJECT: Recommendation of ITOC Candidates

As required by the TransNet Extension Ordinance, a Technical Screening Committee composed of the following members was formed to provide recommendations to the Selection Committee regarding candidates for ITOC:

- Kome Ajise, Deputy Director of Planning and Modal Programs for Caltrans
- Richard Backlund, Associate Division Administrator for the Federal Highway Administration
- Denine Hagen, Executive Director of External Relations, Jacobs School of Engineering at University of California, San Diego

Seven applications were received by the May 1, 2013, submittal deadline for the three open positions on ITOC. Consistent with the Extension Ordinance, the Technical Screening Committee reviewed the applications and met via telephone conference call on May 7, 2013. In its review, the Screening Committee considered factors such as the applicant’s quality of the responses to the questions in the application, the depth of experience of each applicant in the specified category, the level of demonstrated understanding of the role of ITOC, and the type of skills that the applicant would bring to ITOC. The Screening Committee also believed that having continuity of active and engaged members on ITOC was an important consideration.

The Technical Screening Committee recommends the following candidates be considered by the Selection Committee:
• Category 1 – A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition
  o Robert Hixson
  o Carolyn Lee (current ITOC member)

• Category 2 – A professional with demonstrated experience of ten years or more in the management of large-scale construction projects
  o Brad Barnum
  o Sandor Shapery

• Category 3 – A chief executive officer or person in a similar senior-level decision making position of a major private sector employer with demonstrated experience in leading a large organization
  o Harold DeNardi
  o Richard Vortmann (current ITOC member)
Category 1

A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition

The Technical Screening Committee recommends the following candidates for consideration by the Selection Committee:

- Robert Hixson
- Carolyn Lee (current ITOC member)
APPLICATION FORM FOR THE
INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE
April 2013

Name: Robert (Rob) M Hixson III
Address: 350 Tenth Ave suite 800
San Diego, Ca. 92101

Phone Number: (619) 696 8350
E-mail address: rob.hixson@cbre.com

(Please make responses as concise as possible – applications will not be judged on length of
response. In addition, please attach a copy of your résumé with your application submittal to the
attention of Ariana zur Nieden via e-mail Ariana.zurNieden@sandag.org or via fax (619) 699-1905).

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?
   I believe every citizen should be involved in their community and give back in some way.
   I did not serve in the Military and have no interest in Political office, but have enjoyed my involvement
   on the Otay Mesa Planning group over the last 10 years. I have a diverse and strong business
   background and believe I could benefit the Committee. I believe my position of Chair of the Otay
   Mesa Planning group will soon come to an end with the finishing of the Community Plan Update and
   would like to continue to give back.

2. What professional skills and abilities would you bring to the committee, and how would they
   benefit ITOC? I have a very diverse background and interests including: 26 years in
   Commercial Real Estate, Beekeeper, Winemaker, Horseman, San Diego Audubon member, past
   coordinator for the San Diego region for USA Water Polo, Chair of the Otay Mesa Planning group,
   past Chair for the Community Advisor committee for the SR 125 Southbay Expressway, Governor's
   Commendation for Community Service for involvement with Project Mercy (house building for the
   homeless in Tijuana), Past President of the San Diego County Commercial Realtors Association,
   Past President Society of Industrial and Office Realtors (SIOR) San Diego Chapter. Previous to
   Commercial Real Estate, I was also a farmer in the Imperial Valley for four years.
3. The current ITOC vacancies are in the following categories. Please check the category(ies) you are applying for:

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition. (Term expires May 2017)

☐ A professional with demonstrated experience of ten years or more in the management of large-scale construction projects. (Term expires May 2017)

☐ The chief executive officer or person in a similar senior-level decision making position, of a major private sector employer with demonstrated experience in leading a large organization. (Term expires May 2017)

4. Describe the nature of your professional experience as related to the category(ies) you are applying for, including the number of years of experience you have in that area. My undergraduate degree is in Agricultural Economics from U.C. Davis and I have an MBA from UCLA’s Andersons School of Business. I have been selling land and industrial buildings for the last 26 years here in San Diego and have sold over 18,000 acres in Southern California. I have been involved with CalTrans and California Transportation Ventures in their acquisitions and sale of land in San Diego. I am a licensed California Real Estate Broker.

5. What do you hope to accomplish by your participation in ITOC? Be a good source of information and provide ideas to the Committee. I believe I can provide insight from members of the San Diego community.

6. In what part(s) of the region do you live and work? I live in La Jolla. I work downtown and work the south county commercial real estate market. I lease a 2 acre vineyard in Escondido. I keep my horse in Otay Mesa. I usually drive over 26,000 miles per year on San Diego freeways.
ROB M. HIXSON, III
Senior Vice President
Industrial Properties and Land
San Diego, California

PROFESSIONAL EXPERIENCE
- Leader of a four person team working
  San Diego's South County, assisting
  landlords and tenants.
- Assisting developers entitling and
developing land and buildings in both
the City of San Diego, City of Chula
Vista and the County of San Diego.
- Negotiating industrial building leases
and sales.
- Marketing land for sale.
- All with CB Richard Ellis for the previous
25 years.

CREDENTIALS/AFFILIATIONS
- Society of Industrial and Office
  Realtors (SIOR), San Diego Chapter
  President 2004.
- Chair, Otay Mesa Planning Group,
  City of San Diego. 2004 to present,
  Board member since 2000.
- Vice Chair, East Otay Mesa Property
  Owners Association, County of San
  Diego.
- Former Chair, Community Advisory
  Council for the 125 Freeway.
- San Diego County Commercial
  Association of Realtors 1999 President
- Member, National Association of
  Industrial and Office Parks (NAIOP).
- Past Member, City of San Diego
  Airport Advisory Committee.
- Board Member Project Mercy.
- Member RLI (Realtors Land Institute),
  only San Diego member.

ACHIEVEMENTS
- Over 20,000 acres sold in
  Southern California.
- Over 3,500,000 square feet of
  industrial buildings sold.
- Runner up SIOR Presidents
  Community Service Award 2005
- Founder Baja Challenge, over 220
  houses built for the homeless in
  Tijuana.
- Governor's Commendation for
  Community Service 2002.

EDUCATION
- Masters of Business Administration
  (MBA), UCLA 1982.
- Bachelor of Arts, AG Economics and
  Business Management, UC Davis
  1979.
- Some basic Spanish.

PERSONAL
- Married 27 years, three children (one
  in college)
- Fifth Generation Californian
- Founding member, La Jolla
  Community Church
- Captain UCLA Varsity Rugby Team
  1982
- NCAA Div. II National Football

HOBBIES
- Fishing, salt water and fly
- Gardening, growing tomatoes and
  grapes
- Travel
- Winemaker
- Bee Keeper

MAJOR TRANSACTIONS
Wohl Development to Otay TJ Ventures (Sam Zell) – 54 acres - $34 M, March 2008.
Kearny Real Estate to Dart Development – 50 acres - $11 M, August 2010.
APPLICATION FORM FOR THE
TransNet
INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE
April 2013

Name: Carolyn K. Lee
Phone Number: (858) 277-8180
Address: 5594 Tortuga Court
E-mail address: carolynlee@sandag.org
San Diego, CA 92124

(Please make responses as concise as possible – applications will not be judged on length of response. In addition, please attach a copy of your résumé with your application submittal to the attention of Ariana zur Nieden via e-mail Ariana.zurNieden@sandag.org or via fax (619) 699-1905).

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?
My career was spent in the Right of Way division of Caltrans, and I am interested in providing input into the recommendations for improving the TransNet program. TransNet funding allows for more creativity when planning project timelines. Early Action Projects accelerate project timelines and requires that the monies be spent within the proposed timeframe.

2. What professional skills and abilities would you bring to the committee, and how would they benefit ITOC?
I understand the Right of Way process and the Federal Regulations that affect project timelines. I supervised the Capital and Support Budgets of the Right of Way Division, and have a good understanding what type of costs are associated with both types of expenses. My experience allows me to make knowledgeable recommendations on expenditures. I have spent the last four years as an ITOC member. I believe it is beneficial to have ITOC members that have a memory of decisions that have been made in the past to support or deny funding.
3. The current ITOC vacancies are in the following categories. Please check the category(ies) you are applying for:

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition. (Term expires May 2017)

☐ A professional with demonstrated experience of ten years or more in the management of large-scale construction projects. (Term expires May 2017)

☐ The chief executive officer or person in a similar senior-level decision making position, of a major private sector employer with demonstrated experience in leading a large organization. (Term expires May 2017)

4. Describe the nature of your professional experience as related to the category(ies) you are applying for, including the number of years of experience you have in that area.

I have 21 years of experience in the right of way profession including five years managing the Capital and support budgets for the Right of Way Division of Caltrans. I have extensive experience in acquisition including settlements for Tribal lands. My tenure in Property Management, Relocation Assistance and Airspace, provides me with a unique real estate experience. I have acquired parcels, developed and leased property, and understand the project delivery impacts of the Eminent Domain laws.

5. What do you hope to accomplish by your participation in ITOC? I want to use my knowledge of right of way and funding to make intelligent and innovative recommendations for the expenditure of TransNet funds.

CAROLYN LEE  
5594 Tortuga Court  
San Diego, CA 92124  
(858) 277-8180  
carolynlee@san.rr.com

EDUCATION  
San Diego State University B.A. May 1984 GPA 3.98  
Mesa College Real Estate Certificate May 1989 GPA 4.0

EXPERIENCE  

June 2009 – Currently  
Independent Taxpayer Oversight Committee: Board Member:  
Responsible for fiscal audits, review project delivery, cost control, and  
recommend financial operation improvements for Transnet Projects.

March 2006 – March 2009  
State of California, Department of Transportation  
Retired Annuitant: Compose curriculum for Planning and Management  
Academy and teach training classes for San Diego and Sacramento.

July 2001 – December 2006  
Senior Right of Way Agent: Supervise Capital and Support Budget of  
$140 M. Introduced method of accurately tracking multiple fund sources  
on projects to insure correct Federal Highway Administration  
reimbursement to State.

July 1999 – June 2001  
Senior Right of Way Agent: Supervise relocation of 750 residential and  
business displaces, including 400 storage unit facilities for Relocation  
Assistance Branch.

July 1995 – June 1999  
Airspace Manager: Responsible for advertising and leasing of 60  
commercial parcels for the State, including development of a Dave &  
Buster’s Restaurant on a vacant parcel. My expense/income ratio was 7%  
expense: 93% income.

July 1994 – June 1995  
Senior Right of Way Agent: Supervise Property Management and  
demolition of 1,500 parcels in San Diego and Imperial Counties. Wrote  
contracts for clearance and maintenance of properties.

Senior Right of Way Agent: Supervise Acquisition Branch and purchase  
of 600 parcels and easements for highway projects. Developed  
procedures to certify project readiness on a reduced time line.

October 1984 – October 1991  
Associate Right of Way Agent: Acquired property and relocated families  
and businesses affected by highway projects.

SEMINARS  
Developed and presented class entitled “Right of Way & Project  
Development: Partners in Project Delivery,” September 1997 – September  
1998, sponsored by California Department of Transportation  

“Coordinating a Complex Acquisition” October 1993, sponsored by State  
of California Legal Division

PROFESSIONAL  
Instructor for International Right of Way Association with SRWA-RAC  
designation
Category 2

A professional with demonstrated experience of ten years or more in the management of large-scale construction projects

The Technical Screening Committee recommends the following candidates for consideration by the Selection Committee:

- Brad Barnum
- Sandor Shapery
APPLICATION FORM FOR THE
TransNet
INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE
April 2013

Name: Brad Barnum

Address 4179 Misty Ridge
San Diego, CA 92130

Phone Number: (858) 350-9054

E-mail address: bbarnum@agcsd.org

(Please make responses as concise as possible – applications will not be judged on length of response. In addition, please attach a copy of your résumé with your application submittal to the attention of Ariana zur Nieden via e-mail Ariana.zurNieden@sandag.org or via fax (619) 699-1905).

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)?

ITOC was formed to “provide an enhanced level of accountability for the expenditure of funds”, and I would like to ensure that SANDAG, Caltrans, and other agencies get the most out of every construction dollar as these vital TransNet construction projects are built.

2. What professional skills and abilities would you bring to the committee, and how would they benefit ITOC?

My 12 years of employment at the Associated General Contractors (AGC), and the 1.5 years with the National Electrical Contractors Association (NECA), have provided me with a well-rounded background of the local construction industry.

I participate regularly with the Regional Construction Procurement Committee (RCPC), which consists of the facilities directors from a number of local public agencies. The RCPC collaborates on streamlining processes, coordinating schedules, and focusing on shared information that may improve bidding and design issues for public works.

I participate regularly in various committees of the San Diego Regional Chamber of Commerce, which includes maintaining an open dialogue with state, local, and federal officials, as well as numerous transportation stakeholders.

I have served on the Taxpayer’s Oversight Committee for the Sweetwater Union High School District Proposition BB construction bond program, and I understand the importance of making sure public agencies get the most out of every construction dollar.
3. The current ITOC vacancies are in the following categories. Please check category(ies) you are applying for:

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisitions. (Term expires May 2017)

☑ A professional with demonstrated experience of ten years or more in the management of large-scale construction projects.

☐ The chief executive officer or person in a similar senior-level decision-making position, of a major private sector employer with demonstrated experience in leading a large organization. (Term expires May 2017)

4. Describe the nature of your professional experience as related to the category(ies) you are applying for, including the number of years of experience you have in that area.

Over the last 12 years, I've been employed by the AGC as their Vice President of Government Affairs. I act as staff liaison to a number of industry committees within the AGC that meet regularly with public agencies, including Caltrans and SANDAG. My duties have required me to be involved with all aspects of the construction industry, including but not limited to: bidding practices, specifications content, project delivery methods, payment schedules, inspector methods, change order procedures, contracts, safety, and labor compliance. I understand their potential impacts on contractors, private and public owners, and taxpayers.

AGC is the primary construction/building industry advocate for investing in the region's infrastructure. Each year, I lead a number of AGC San Diego members to Sacramento and Washington, DC to meet with political leaders, and state and federal agencies to discuss legislative, regulatory, and contracting issues.

5. What do you hope to accomplish by your participation in ITOC?

Voters supported TransNet for its emphasis on improving our local street and roads, transit, and highways, while protecting taxpayers. Local, state, and federal funding challenges continue, and ITOC will play an integral role to maintain the financial integrity and performance of the TransNet program. ITOC has done a good job in carrying out all the voter mandates, and on behalf of the construction industry, I would like to continue that trend.

6. In what part(s) of the region do you live and work?

I work in Sorrento Valley and live in Torrey Hills.
Since 2001, Brad Barnum has been the Vice President Government Relations for the Associated General Contractors of America (AGC), San Diego Chapter, Inc. AGC San Diego represents over 1,100 members who perform over 85% of the region’s commercial, industrial, institutional, general engineering, and heavy highway construction. AGC members are the primary builders of the region’s infrastructure.

Mr. Barnum is responsible for AGC’s local government relations and political action activities. He acts as staff liaison with AGC’s lobbying efforts in the State Legislature and in Congress. He also handles candidate endorsements and political fundraising.

Prior to AGC, Mr. Barnum worked for the San Diego Chapter of the National Electrical Contractors Association (NECA). From 1985 to 1998, he worked in the Governor Deukmejian and Governor Wilson Administrations. As the Director of Advance for Governor Deukmejian, he handled all meeting planning, media arrangements, and public affairs activities for the Governor’s travel. During the Wilson Administration, he worked as staff to the California Public Utilities Commission in the areas of public affairs and government relations.

Mr. Barnum is a member of the San Diego Regional Chamber of Commerce, where he is a member of the Public Policy Committee, Legislative and Small Business Advocacy Committee, and Transportation and Land Use Committee. He is a member of the City of San Diego’s Mentor-Protégé Advisory Board. He is on the Board of the San Diego County Taxpayers Association, and a member of the San Diego Business Leadership Alliance, and the Lincoln Club of San Diego County.
APPLICATION FORM FOR THE
TransNet
INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE
April 2013

Name: Sandor Wayne Shapery

Phone Number: 619.239.4700

Address: 402 West Broadway, Suite 1220

E-mail address: sandy@shaperyenterprises.com

San Diego, CA 92101

(Please make responses as concise as possible – applications will not be judged on length of response. In addition, please attach a copy of your résumé with your application submittal to the attention of Ariana zur Nieden via e-mail Ariana.zurNieden@sandag.org or via fax (619) 699-1905).

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITO)?

I have been a resident of San Diego for almost my entire life (65 years) and have seen the impacts of good and bad planning on our quality of life. I have lived downtown for 23 year and have designed and developed, for my own account, some of the major high-rise hotel and office towers. My interest in planning, particularly transportation infrastructure, began over 30 years ago and has resulted in the development of an advanced transportation technology company dedicated to the conversion of fossil fuel transportation vehicles to nonpolluting electric energy. This involvement includes the design and implementation of zero emissions container moving systems for ports and electric conversion of rail and bus rapid transit systems. In this capacity, I have partnered with some of the leading electronic suppliers and manufacturers in the world such as Siemens and General Atomics. Quality of life to me is paramount.

2. What professional skills and abilities would you bring to the committee, and how would they benefit ITO?

Having been a licensed attorney for the past 42 years, I have argued in front of the Supreme Court of the United States. Although I no longer practice law for hire, my specialty is real estate law. I graduated with honors (Cum Laude) from the University of San Diego Law School. I am a designer, developer and owner of major real estate projects in downtown San Diego, including hotels and office towers, having begun my development career 42 years ago. I currently own and operate 12 for-profit business entities.

I designed and built the Emerald Shapery Center, now known as the Emerald Plaza, a mixed-use project containing two high-rise towers, an office tower and a 435-room hotel tower containing approximately 1 million sq. ft. and valued in excess of $200,000,000. I completed that project in 1991. As the managing member of the ownership entity, I was also responsible for the operations which included more than 300 full-time employees. For the past 22 years I have lived on the top of the hotel tower in the project’s only residence which I built for myself.

I designed, developed, owned and leased the downtown San Diego W Hotel, a 21-story 263-room high-rise, completed in 2001 at a cost in excess of $62,000,000. I also own the Sempra Energy Corporate Headquarters building, a 22-story 347,000 sq. ft. office tower, which I acquired in 1994. My other real estate ownership has included in excess of 75 properties over the years.
My undergraduate degree with honors from San Diego State was in Political Science and Social Psychology with emphasis on Systems Analysis. I have also studied systems analysis in transportation systems. I was selected as Historic Preservationist of the Year in 2011 by the Save Our Heritage Organisation (SOHO) for having restored and renovated more historic buildings in downtown San Diego than any person during the history of the giving of the award. I was also on the Board of Directors of the San Diego Chapter of the American Cancer Society from 1991 to 1993.

3. The current ITOC vacancies are in the following categories. Please check the category(ies) you are applying for:

   X A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition. (Term expires May 2017)

   X A professional with demonstrated experience of ten years or more in the management of large-scale construction projects. (Term expires May 2017)

   X The chief executive officer or person in a similar senior-level decision making position, of a major private sector employer with demonstrated experience in leading a large organization. (Term expires May 2017)

4. Describe the nature of your professional experience as related to the category(ies) you are applying for, including the number of years of experience you have in that area.

   In addition to the above listed professional experience, I was the Vice Chairman of the SANDAG Regional Stakeholders Working Group (SWG) from February 2005 to November 2007, which included being an advisory member on the SANDAG Transportation Committee. We were responsible for the development of the Smart Growth Plan and review of the 2030 Transportation Plan in relationship to the Regional Plan. I was again invited to participate in the SANDAG Stakeholders Working Group (SWG) from September 2009 through November 2011 where I was selected as the Vice Chairman and worked on the 2050 Transportation Plan.

   I was a member of the Chamber of Commerce Infrastructure Committee from 2003 to 2009, Chamber of Commerce Land Use and Transportation Committee from 2009 to 2011. I was a member of the San Diego County Regional Airport Authority Advisory Committee and Vision Plan Subcommittee from 2009 to 2011. I was also a member of the Board of Directors of SOHO from 2008 to 2011.

5. What do you hope to accomplish by your participation in ITOC?

   I plan to accomplish the goals set forth by SANDAG in the creation of this oversight committee.

6. In what part(s) of the region do you live and work?

   I live and work in downtown San Diego.
BIOGRAPHICAL INFORMATION

Sandor W. Shapery

Sandor W. Shapery is an attorney licensed to practice law in the State of California and has practiced before the Supreme Court of the United States. Mr. Shapery is also licensed by the State of California as a real estate broker. He is currently developing real estate, managing his real estate holdings, and developing a transportation infrastructure company. Mr. Shapery, who is 68 years old, has been a resident of the City of San Diego for 63 years. He graduated from San Diego State University with honors in 1968, majoring in Political Science and attended law school at the University of San Diego where he graduated with honors in 1971 with a Juris Doctorate Cum Laude.

Mr. Shapery is the principal of Shapery Enterprises under which various corporations, partnerships and limited liability companies operate. Shapery Enterprises is involved in the ownership, design, and development of high-rise office buildings (Sempra Energy corporate headquarters building; Emerald Shapery Center), high-rise hotels (Westin Emerald Plaza; W Hotel downtown San Diego), commercial centers, historic renovations, and raw land. A large part of Mr. Shapery’s real estate development pursuits involve historic renovations. Most notably, Mr. Shapery rehabilitated, among numerous other historic renovations, the historic Keissig house at 2nd and Ash St., the Del Mar Castle, Las Casitas Del Mar, 1576 Law St. Victorian home, Aspen Victorian home, South Park business district complex and fire station, the Riviera Hotel, and the Chinese Steam Laundry which is now incorporated in the W Hotel. Most of the rehabilitated properties are located in San Diego County. Mr. Shapery is a member of the board of the directors of Save Our Heritage Organization (SOHO).

Mr. Shapery, through Shapery Gyronautics Corporation, has patented and is developing a low-cost high-speed gyro-stabilized computer operated vertical take-off and landing passenger vehicle that is intended to revolutionize transportation. The National Aeronautics and Space Administration (NASA), Revolutionary Aeropropulsion Concepts division has funded a joint effort with Shapery Gyronautics to develop the concept.

Mr. Shapery is currently pursuing the implementation of a supra-regional transportation plan for Southern California which will utilize a revolutionary electro-magnetic levitation and propulsion technology for the railroad systems that can move freight and people at speeds in excess of 310 miles per hour. The technology will allow the movement of goods and people for a fraction of the cost of fossil fuels, will dramatically reduce carbon emissions, and has the potential to create clean, sustainable energy. Mr. Shapery is developing a plan to convert existing railroad operations in high traffic urban areas to electric linear induction thus eliminating diesel pollutants and reducing operating costs. Further technological advances include the design and development of a low cost magnetic levitation train system that will operate on existing railroad tracks coexistent with current railroad operations.

Mr. Shapery was the vice-chairman of the San Diego Association of Governments (SANDAG) Stakeholders Working Group, and was an advisory member of the SANDAG Transportation Committee consisting of the 18 mayors of the cities of San Diego County. He was a member of the San Diego Regional Chamber of Commerce Transportation and Land Use Committee, a member of the Airport Authority advisory committee, and a member of the Southern California Leadership Council, a business-led-and-sponsored public policy partnership for the Southern California region. The Council is comprised of business and community leaders from throughout the 7 counties of Southern California and founded by California’s four former Governors.

26
Category 3

A chief executive officer or person in a similar senior-level decision-making position of a major private sector employer with demonstrated experience in leading a large organization

The Technical Screening Committee recommends the following candidates for consideration by the Selection Committee:

- Harold DeNardi
- Richard Vortmann (current ITOC member)
APPLICATION FORM FOR THE
TransNet
INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE
April 2013

Name: Harold M. DeNardi
Address: 705 1st Street
Coronado, CA 92118

Phone Number: ( ) 619-437-4300
E-mail address: hmd@san.rr.com

(Please make responses as concise as possible – applications will not be judged on length of response. In addition, please attach a copy of your résumé with your application submittal to the attention of Ariana zur Nieden via e-mail Ariana.zurNieden@sandag.org or via fax (619) 699-1905).

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITO)?

I feel my service on the ITOC would allow me to draw on the knowledge, skills and abilities I have gained through my professional career in support of a truly valuable community program. My work with the Coronado City Planning Commission has been very personally rewarding and I’m eager to expand my service and support to the broader community.

2. What professional skills and abilities would you bring to the committee, and how would they benefit ITOC?

After more than 40 years in the heavy equipment industry, I’ve gained a unique set of skills and abilities that would be highly beneficial to the type of work and projects handled by the ITOC. I have been instrumentally involved with large construction projects on many levels, including bid proposals, needs analysis, budget calculation and cost containment.

My experience as Planning Commissioner for the City of Coronado has given me the opportunity to further refine my skills, to include navigating the committee decision process, working with voter mandates and city statutes, and being mindful of the community economics.

Through my years as a local business owner, the principals of financial integrity are second nature to me. I understand the need for program performance, solid auditing procedures and accountability.

I believe that my combined experience as a senior level decision maker, a successful business owner, affiliation with the construction industry and my work in public service would make me an invaluable member of the ITOC.
3. The current ITOC vacancies are in the following categories. Please check the category(ies) you are applying for:

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition. (Term expires May 2017)

☐ A professional with demonstrated experience of ten years or more in the management of large-scale construction projects. (Term expires May 2017)

☒ The chief executive officer or person in a similar senior-level decision making position, of a major private sector employer with demonstrated experience in leading a large organization. (Term expires May 2017)

4. Describe the nature of your professional experience as related to the category(ies) you are applying for, including the number of years of experience you have in that area.

Most recently, I served as President and COO of Cashman Equipment, the Caterpillar Heavy Equipment and Power distributor for the state of Nevada. In this role, I functioned as the highest level decision maker, charged with all aspects of direction for the organization. In 2006, after five years with Cashman, I returned to San Diego full time to be close to family & friends.

For the 23 years prior, I was CEO and majority owner of a successful construction equipment distributor headquartered in San Diego with responsibility for the Southwest region, including all of California and southern Nevada.

I relocated to California in 1971 with my wife and two small children to take a position as the Vice President for Morgan Equipment. It is during the seven years in this role that I gained the experience and confidence to start my own endeavor. Prior to making the move to California, I worked as a Manufacture Representative for Euclid Equipment.

5. What do you hope to accomplish by your participation in ITOC?

As a member of the ITOC, I hope to contribute a broad, logical and thoughtful perspective and provide constructive recommendations based on my expertise and background. I hope to be a positive influence on the transportation improvements in the San Diego area for my children, grandchildren and for all the people now and into the future that call San Diego “home”.

6. In what part(s) of the region do you live and work?

I currently reside in Coronado and have lived here for the past 35 years. I worked and owned commercial property in El Cajon from 1981 - 1997.
Harold M. DeNARDI
705 First Street
Coronado, CA 92118
E-Mail: HMD@san.rr.com
(619) 368-5048

PROFILE: Extensive experience in operations management, sales and business development, P&L and budget control, business forecasting, contract negotiations and administration, inventory management, customer service, client relations, personnel supervision and training experience, including:

Goal oriented, dedicated professional, highly motivated self-starter, and decision-maker.
Sound business judgment with strong focus on improving overall company performance.
Accomplished negotiating skills with ability to affect favorable agreements and solutions.
Creative use of all resources and ability to prioritize projects and allocate available funds.
Ability to manage/implement a timely and cost-effective completion of multiple projects.
Extensive analytical and problem solving skills with ability to achieve strategic objectives.
Proven leadership skills with ability to motivate others and encourage peak performance.
Skilled in creating a team-oriented work-environment and increasing overall productivity.
Excellent verbal and written communications with strong interpersonal skills at all levels.
30 years experience of interaction with heavy engineering firms and global mining companies.

EXPERIENCE:

2001-2006  Cashman Equipment, Nevada & California
President/C.O.O.
Responsible for all aspects of managing operations for the 800 person, 6 branch Caterpillar equipment sales and rental company, including:

Increased company net profitability 100%.
Managed in excess of $600M in annual sales.
Established a company wide equipment rental program.
Increased the Sales Dept. profits 50% by initiating margin based commission program.
Developed annual contract revenues with mining clients in excess of 200M per year.

1978-1997  DeNardi Equipment, El Cajon, CA

President/C.E.O.

Responsible for the distribution of Volvo & Hitachi Construction Equipment in California and Nevada – 7 branches, $80M in sales

Successfully negotiated the sale of company to CMI in fiscal year ’97.
Managed in excess of $80M in sales and increased annual revenues by 23%.
Increased the Service Dept. profits 50% by initiating a value-added maintenance program.
Maintained $20M in business inventory at the company’s 3 Southern California facilities.
Directed and evaluated up to 150 sales, service, support and administrative staff members.
Controlled and matched operational expenses with the monthly revenue stream.
Established multiple new facilities in Southern California and Nevada.

1971-1978  Morgan Equipment, San Francisco, CA

Vice President

Heavy Construction Equipment and Mining Sales thru-out North America.
CIVIL SERVICE: Planning Commissioner
2011 – 2013, City of Coronado

Historic Resource Board, Committee member
2011 – 2012, City of Coronado

EDUCATION: BOWLING GREEN STATE UNIVERSITY, OH
Bachelors of Science, Business - 1961

REFERENCES: Available on request.
APPLICATION FORM FOR THE
TransNet
INDEPENDENT TAXPAYER OVERSIGHT COMMITTEE
April 2013

Name: Richard Vortmann

Phone Number: (858 740 6441)

Address: 7715 Hidden Valley Crt

E-mail address: Dvortmann@gmail.com

La Jolla, Ca 92037

(Please make responses as concise as possible – applications will not be judged on length of response. In addition, please attach a copy of your résumé with your application submittal to the attention of Ariana zur Nieden via e-mail Ariana.zurNieden@sandag.org or via fax (619) 699-1905).

1. Why are you interested in serving on the Independent Taxpayer Oversight Committee (ITOC)? I am currently serving on the Committee. I have found it interesting and therefore I am desirous of continuing my role.

2. What professional skills and abilities would you bring to the committee, and how would they benefit ITOC? My 22 years as a CEO of a large local corporation (NASSCO, a $1 Billion 5,000 employee company) well matches the position requirements. My specific experience in the management of large dollar engineering and construction projects is highly relevant to the ITOC’s responsibilities. My experience in large financings is also quite relevant.
3. The current ITOC vacancies are in the following categories. Please check the category(ies) you are applying for:

☐ A professional with demonstrated experience of ten years or more in real estate, land economics, and/or right-of-way acquisition. (Term expires May 2017)

☐ A professional with demonstrated experience of ten years or more in the management of large-scale construction projects. (Term expires May 2017)

X☐ The chief executive officer or person in a similar senior-level decision making position, of a major private sector employer with demonstrated experience in leading a large organization. (Term expires May 2017)

4. Describe the nature of your professional experience as related to the category(ies) you are applying for, including the number of years of experience you have in that area. Please see the attached resume for details.

5. What do you hope to accomplish by your participation in ITOC? To continue to represent the San Diego County taxpayers in assuring that the TRANSNET ordinance is being properly followed and that their tax dollars are efficiently and effectively utilized.

6. In what part(s) of the region do you live and work? La Jolla
RICHARD H. VORTMANN

Richard H. Vortmann retired after a 30-year career with National Steel and Shipbuilding Company (NASSCO) based in San Diego, California (a 5,000 employee, $1 billion company) where he served as President for 22 years. He also retired after six years as Vice President of General Dynamics Corporation (GD). He most recently completed an assignment as Interim President and CEO of the San Diego Regional Chamber of Commerce.

General Dynamics acquired NASSCO in 1998. For the ten years prior to the GD acquisition, Vortmann had been Chairman, President and CEO of NASSCO, which was then an employee-owned business.

Vortmann began his career in 1969, serving in various financial management and strategic planning positions with Kaiser Industries Corporation, Oakland, California, the then managing owner of NASSCO. He joined NASSCO in 1976 as Vice President of Finance and Information Systems. He later served as Vice President of Production before becoming Executive Vice President of Operations in 1980. He became President of NASSCO in 1984. From 1987 to 1988, he was a member of the Board of Directors of Morrison-Knudsen Corporation (M-K), an international engineering and construction company, which was then NASSCO’s parent company. From 1987 to 1988 he also served as Chairman of the Board of Emkay Development, a real estate development subsidiary of M-K. In 1988 he led the Management-ESOP purchase of NASSCO from M-K.

Vortmann recently completed a 7-year term on the Board (including 2 years as Chairman) of Scripps Health, a $2 billion San Diego hospital system; Vortmann is a member of the Dept. of Commerce Sea Grant College Advisory Board, and a Member of Council, American Bureau of Shipping. He is a Trustee on the $8 billion San Diego County Employees Retirement System. Previously Vortmann served as Chairman of both the American Shipbuilders Association and the Shipbuilders Council of America, and Vice Chairman of the National Academies of Science Marine Board. For 14 years he was the Chairman of the American delegation to the Japanese, European, Chinese, Korean, and United States Annual Shipbuilding Conference (JECKU). He also served as a Director of the San Diego Chamber of Commerce and the San Diego Economic Development Corporation. He was a Trustee of the $3 billion San Diego City Employees Retirement System, a member of the San Diego Mayor’s Blue Ribbon Finance Committee, and Vice Chair of the San Diego Pension Reform Committee.

Vortmann was born in San Francisco, California. He earned a Bachelor’s degree in finance in 1966 and an MBA in 1967 from the University of California, Berkeley, for whom he also played basketball. He taught on the Business School faculty of his alma mater from 1967 to 1969 while doing postgraduate work before entering private industry.