

Required Form (Senior Mini Grant and Section 5310 Applicants)

Please see the following page.

Public Contract Code Questionnaire

In accordance with Public Contract Code Section 10162, the Applicant shall complete, under penalty of perjury, the following questionnaire:

Has the Applicant, any officer of the Applicant, or any employee of the Applicant who has a proprietary interest in the Applicant, ever been disqualified, removed, or otherwise prevented from applying for, or bidding or proposing on, or completing a federal, state, or local government project because of a violation of law or a safety regulation?

Yes No

If the answer is yes, explain the circumstances in the space below.

Name of Applicant

Printed Name and Title of Signatory

Signature

Date

Public Contract Code Section Statements

Public Contract Code Section 10232 Statement

In conformance with Public Contract Code Section 10232, the Applicant hereby states under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the Applicant within the immediately preceding two-year period because of the Applicant's failure to comply with an order of a federal court which ordered the Applicant to comply with an order of the National Labor Relations Board.

Public Contract Code Section 10285.1 Statement

In conformance with Public Contract Code Section 10285.1 (Chapter 376, Stats. 1985), the Applicant hereby declares under penalty of perjury under the laws of the State of California that the Applicant

has has not
(must check one)

been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Public Contract Code Section 1101, with any public entity, as defined in Public Contract Code Section 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Applicant" is understood to include any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as well as any subapplicant of Applicant, as referred to in Section 10285.1 (reference to "bidder/proposer").

The above statement is part of the application. Signing the application on the signature portion thereof shall also constitute signature of this statement. Applicants are cautioned that making a false certification may subject the certifier to criminal prosecution.

Name of Applicant

Printed Name and Title of Signatory

Signature

Date

Required Forms (Section 5310 Applicants Only)

Please see the following page.

ELIGIBILITY CERTIFICATION FOR FEDERALLY FUNDED CONTRACTS

The award of an Agreement is subject to a financial assistance contract between the San Diego Association of Governments (SANDAG) and the U.S. Department of Transportation. Any name appearing on the Comptroller General’s list of ineligible contractors for federally financed or assisted contracts is not eligible for a contract.

The applying organization (hereinafter referred to as Applicant) hereby certifies that neither the Applicant nor any of its officers or holders of a controlling interest are on the U.S. Comptroller General’s list of ineligible parties for federally funded and assisted contracts. In the event the Applicant or any of its subapplicants are included on such a list during the performance of this Project, Applicant shall promptly inform SANDAG of this fact.

Name of Applicant

Printed Name and Title of Signatory

Signature

Date

NONCOLLUSION AFFIDAVIT/DECLARATION

(Title 23 United States Code Section 112 and Public Contract Code Section 7106)

In accordance with Title 23, United States Code Section 112, and Public Contract Code 7106, the Applicant declares that the Application is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the Application is genuine and not collusive or sham; that the Applicant has not, directly or indirectly, induced or solicited any other Applicant to put in a false or sham Application; and has not, directly or indirectly, colluded, conspired, connived, or agreed with any Applicant or anyone else to put in a sham Application, or that anyone shall refrain from applying; that the Applicant has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the Grant Amount Request of the Applicant or any other Applicant, or to fix any overhead, profit, or cost element of the Grant Amount Request, or of that of any other Applicant, or to secure any advantage against the public body awarding the Contract of anyone interested in the proposed Contract; that all statements contained in the Application are true; and, further, that the Applicant has not, directly or indirectly, submitted his or her Grant Amount Request or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company, association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham Application.

Any person executing this declaration on behalf of an Applicant that is a corporation, partnership, joint venture, limited liability company, limited liability partnership, or any other entity, hereby represents that he or she has full power to execute, and does execute, this declaration on behalf of the Applicant.

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that this declaration is executed on _____ [date], at _____ [City], ____ [State].

Applicants are cautioned that making a false certification may subject the certifier to criminal prosecution.

Name of Applicant

Printed Name and Title of Signatory

Signature

Date

DEBARMENT AND SUSPENSION CERTIFICATE

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29

Applicant, under penalty of perjury, certifies that, except as noted below, he/she or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

- Is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;
- has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past three years;
- does not have a proposed debarment pending; and
- has not been indicted, convicted, or had a civil judgment rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past three years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining Applicant's responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

Name of Applicant

Printed Name and Title of Signatory

Signature

Date

CERTIFICATION OF RESTRICTIONS ON LOBBYING

- A. Applicant certifies to the best of his or her knowledge and belief that:
1. No state, federal or SANDAG appropriated funds have been paid, or will be paid by-or-on behalf of Applicant to any person for influencing or attempting to influence an officer or employee of any state or federal agency; a Member of the State Legislature or United States Congress; an officer or employee of the Legislature or Congress; or any employee of a Member of the Legislature or Congress, in connection with the awarding of any state or federal contract; the making of any state or federal grant; the making of any state or federal loan; the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any state or federal contract, grant, loan, or cooperative agreement.
 2. If any funds other than federal appropriated funds have been paid, or will be paid to any person for influencing or attempting to influence an officer or employee of any federal agency; a Member of Congress; an officer or employee of Congress, or an employee of a Member of Congress; in connection with this federal contract, grant, loan, or cooperative agreement; Applicant shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- B. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.
- C. Applicant also agrees by signing this document that he or she shall require that the language of this certification be included in all lower-tier subcontracts, which exceed \$100,000 and that all such subrecipients shall certify and disclose accordingly.
- D. Applicant, with regard to the work performed by it during the Agreement shall act in accordance with Title VI. Specifically, the Applicant shall not discriminate on the basis of race, color, national origin, religion, sex, age, or disability in the selection and retention of subrecipients, including procurement of materials and leases of equipment. The Applicant shall not participate either directly or indirectly in the discrimination prohibited by Section 21.5 of the U.S. DOT's Regulations, including employment practices when the Agreement covers a program whose goal is employment.

Executed this ____ day of ____ 20__ .

Name of Applicant
Organization: _____

Name of Signatory _____ Title: _____

Signature

Disclosure of Lobbying Activities – (Continued)

COMPLETE THIS FORM TO DISCLOSE LOBBYING ACTIVITIES PURSUANT TO 31 USC 1352

1. Type of Federal Action <input type="checkbox"/> a. contract <input type="checkbox"/> b. grant <input type="checkbox"/> c. cooperative agreement <input type="checkbox"/> d. loan <input type="checkbox"/> e. loan guarantee <input type="checkbox"/> f. loan insurance	2. Status of Federal Action <input type="checkbox"/> a. Bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	3. Report Type: <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change
4. Name and Address of Reporting Entity: <input type="checkbox"/> Prime <input type="checkbox"/> Subawardee Name: _____ Street 1: _____ Street 2: _____ City: _____ State: _____ Zip: _____ Congressional District, <i>if known</i> : _____		
5. If Reporting Entity in No. 4 is Subawardee, Enter Name and Address of Prime: _____ _____ _____		
6. Federal Department/Agency: _____		7. Federal Program Name/Description: CFDA Number, <i>if applicable</i> : _____
8. Federal Action Number, if known: _____		9. Award Amount, if known: \$ _____
10. a. Name and Address of Lobbying Registrant Prefix (Mr./Mrs./Miss/Ms./Dr./Rev.): _____ First Name: _____ Middle Name: _____ Last Name: _____ Suffix (Jr./Sr./MD/PhD) _____ Street 1: _____ Street 2: _____ City: _____ State: _____ Zip: _____		
b. Individual Performing Services (including address if different from No. 10a) Prefix (Mr./Mrs./Miss/Ms./Dr./Rev.): _____ First Name: _____ Middle Name: _____ Last Name: _____ Suffix (Jr./Sr./MD/PhD) _____ Street 1: _____ Street 2: _____ City: _____ State: _____ Zip: _____ venture		
11. Information requested through this form is authorized by title 31 U.S.C., Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be reported to the Congress semi-annually and will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. Signature: _____ Name: Prefix (Mr./Mrs./Miss/Ms./Dr./Rev.): _____ First Name: _____ Middle Name: _____ Last Name: _____ Suffix (Jr./Sr./MD/PhD) _____ Title: _____ Telephone No. _____ Date: _____		

DISCLOSURE OF LOBBYING ACTIVITIES (CONTINUATION SHEET)

Reporting Entity: _____ Page ____ of ____

SUBAPPLICANT'S STATEMENT OF ELIGIBILITY

Instructions: Complete either Part A or Part B below, as applicable. Do not complete both.

PART A

(To be completed by each subapplicant)

_____ (Name of Subapplicant) certifies that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in any federal project by any federal department or agency. Where the subapplicant is unable to certify any of the statements in the certification, such subapplicant shall attach an explanation with this form.

The subapplicant certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. 3801, et seq., are applicable.

Name of
Subapplicant
Organization: _____
Printed Name: _____
Title: _____

Signature

Date

PART B

(To be completed by the Applicant if no subapplicants are listed)

_____ (Name of Applicant) certifies that it has no listed subapplicants in its Proposal.

Name of
Applicant
Organization: _____
Printed Name: _____
Title: _____

Signature

Date